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**Appendix XXVIII**

**FORM OF NOTIFICATION OF AMENDMENT TO CHARTER/PROSPECTUS**

*(Issued together with Circular No. 98/2020/TT-BTC dated November 16, 2020 of the Minister of Finance)*

**VinaCapital Fund Management Joint Stock Company**  
**VINACAPITAL VN100 ETF**

**SOCIALIST REPUBLIC OF VIETNAM**  
**Independence - Freedom - Happiness**

No. 20260506 /VCFM-ETFVN100-BC

HCMC Ho Chi Minh City, 06 May 2026

**NOTICE**

**Amendments and supplements to the charter of securities investment funds**

**To: STATE SECURITIES COMMISSION**

VinaCapital Fund Management Joint Stock Company reports to the State Securities Commission on the amendment and supplementation of the Charter of **VINACAPITAL VN100 ETF** as follows:

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
1.	LEGAL BASIS	<p>...</p> <p>10. Circular No. 101/2021/TT-BTC dated November 17, 2021 of the Ministry of Finance regulating Service fees in the securities sector applicable at the Stock Exchange and Vietnam Securities Depository and Clearing Corporation; effective from January 01, 2022;</p> <p>11. Circular No. 102/2021/TT-BTC dated November 17, 2021 of the Ministry of Finance regulating Service</p>	<p><b>3. Law No. 56/2024/QH15 passed by the National Assembly on November 29, 2024, amending and supplementing several articles of the Law on Securities, Law on Accounting, Law on Independent Audit, Law on State Budget, Law on Management and Use of Public Assets, Law on Tax Administration, Law on Personal Income Tax, Law on National Reserves, and Law on Handling of Administrative Violations;</b></p>	Updating new legal documents

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>fees in the securities sector applicable to securities trading organizations and commercial banks participating in the Vietnamese securities market;</p> <p>12. Circular No. 197/2015/TT-BTC dated December 03, 2015 of the Ministry of Finance issuing the regulations on securities practice; and...</p>	<p><b>5. Decree No. 245/2025/NĐ-CP dated September 11, 2025, of the Government amending and supplementing several articles of Decree No. 155/2020/NĐ-CP, effective from September 11, 2025;</b></p> <p><b>8. Decree No. 306/2025/NĐ-CP dated November 25, 2025, amending and supplementing several articles of Decree No. 156/2020/NĐ-CP dated December 31, 2020, of the Government (as amended and supplemented by Decree No. 128/2021/NĐ-CP) and Decree No. 158/2020/NĐ-CP dated December 31, 2020, of the Government regarding derivative securities and the derivative securities market;</b></p> <p><b>10. Circular No. 136/2025/TT-BTC dated December 29, 2025, of the Ministry of Finance amending and supplementing Circular No. 98/2020/TT-BTC, effective from February 12, 2026;</b></p> <p><b>14. Circular No. 68/2024/TT-BTC dated September 18, 2024, amending and supplementing several articles of Circulars on securities transactions on the securities trading system, clearing and settlement; activities of securities companies and information disclosure on the securities market;</b></p> <p><b>15. Circular No. 83/2024/TT-BTC dated November 26, 2024, guiding the mechanism and policy on service prices in the securities industry;</b></p> <p><b>16. Circular No. 135/2025/TT-BTC dated December 26, 2025, of the Ministry of Finance on securities practice regulations;</b></p> <p>...</p>	

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			<p><del>10. Circular No. 101/2021/TT-BTC dated November 17, 2021 of the Ministry of Finance regulating Service fees in the securities sector applicable at the Stock Exchange and Vietnam Securities Depository and Clearing Corporation; effective from January 01, 2022;</del></p> <p><del>11. Circular No. 102/2021/TT-BTC dated November 17, 2021 of the Ministry of Finance regulating Service fees in the securities sector applicable to securities trading organizations and commercial banks participating in the Vietnamese securities market;</del></p> <p><del>12. Circular No. 197/2015/TT-BTC dated December 03, 2015 of the Ministry of Finance issuing the regulations on securities practice; and...</del></p>	
2.	DEFINITIONS	<p>"Fund Management Company" means VinaCapital Fund Management Joint Stock Company incorporated under the certificate of establishment and operation No. 31/UBCK-GP firstly issued by the SSC on April 14, 2008 (and amended, supplemented from time to time), details as referred to as in Article 6 of this Charter, or any organizations that inherits the company's rights and obligations.</p> <p>"Net asset value" or "NAV" means the total value of the assets and investments owned by the Fund minus the Fund's liabilities at the Valuation Date.</p>	<p>"Fund Management Company" means VinaCapital Fund Management Joint Stock Company incorporated under the certificate of establishment and operation No. 31/UBCK-GP firstly issued by the SSC on April 14, 2008 (and amended, supplemented from time to time), <b>with rights and obligations</b> as details as referred to as in Article 6 of this Charter, or any organizations that inherits the company's rights and obligations..</p> <p>"Net asset value" or "NAV" means the total value of the assets and investments owned by the Fund minus the Fund's liabilities <b>at the most recent date prior to</b> the Valuation Date..</p> <p>"Business day" means a day that is not a Saturday, Sunday, or a public holiday or <b>Tet holiday as prescribed by Vietnamese</b></p>	Update and supplement the meaning

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>"Business day" means any day that is not Saturday, Sunday, or public holiday, on which stock exchanges and banks in Vietnam are open for normal business operations.</p> <p>"Fund" means VinaCapital VN100 ETF, an open-end exchanged traded fund, formed by receiving and exchanging the basket of component securities for Creation units.</p> <p>"Market maker" means a Authorized Participant selected by the Fund management company to sign a contract to provide market making services for the Fund. The Fund management company may designate one or more Authorized Participants to act as Market Makers.</p>	<p><del>Law on which stock exchanges and banks in Vietnam are open for normal business operations.</del></p> <p>" Fund" means VinaCapital VN100 ETF, an open-end exchanged traded fund, formed by receiving and exchanging the basket of component securities for Creation units.</p> <p>"Market maker" means a <b>securities company</b> acting as an Authorized Participant selected by the Fund management company to sign a contract to provide market making services for the Fund. The Fund management company may designate one or more Authorized Participants to act as Market Makers.</p>	
3.	Full text	Contact: 17 <sup>th</sup> Floor, Sun Wah Tower, 115 Nguyen Hue, Ben Nghe Ward, District 1, Ho Chi Minh City, Vietnam	<b>Correspondence address</b> <del>Contact: 17<sup>th</sup> Floor, Sun Wah Tower, 115 Nguyen Hue, Sai Gon Ward Ben Nghe, District 4, Ho Chi Minh City, Vietnam</del>	Update the address of the Fund Management Company
4.	Full text	Building No. 56 (rear) – 64 Nam Ky Khoi Nghia Street, Nguyen Thai Binh Ward, District 1, Ho Chi Minh City	<b>No. 56 (rear), 58, 60, 62, 64 and Ground Floor, 10<sup>th</sup> and 11<sup>th</sup> floors, Tasco Building No. 66, 68 Nam Ky Khoi Nghia Street, Saigon Ward</b> <del>Building No. 56 (rear) – 64 Nam Ky Khoi Nghia Street, Nguyen Thai Binh Ward, District 1, Ho Chi Minh City.</del>	Updating the address of the Custodian Bank
5.	Chapter 1- Article 2. Operating Term	The Fund has an indefinite term	<b>The Operating term of the Fund is calculated from the date the Fund is granted the Fund Establishment registration certificate by the State Securities Commission and the Fund has an indefinite term and the Fund has an indefinite term.</b>	Supplement to clarify

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
6.	Chapter 1- Article 3. Organizational Principles		<p>...</p> <p><b>The highest authority of the Fund is the General Meeting of Investors.</b></p> <p><b>3.5 The Board of Representatives shall be elected by the General Meeting of Investors to supervise the regular activities of the Fund, the Fund Management Company, and the Supervisory Bank.</b></p> <p><b>3.6 VinaCapital Fund Management Joint Stock Company is designated by the General Meeting of Investors to manage the Fund's investment.</b></p>	Supplement to clarify
7.	Chapter 2- Article 9. Investment Strategy, Investment Policy and Asset Allocation	<p>9.4 The Fund's Basket of component securities must align with the Basket of component securities of the Benchmark Index in terms of asset structure and asset weight as prescribed in Article 9.5 of this Charter and ensure tracking error compared to the most recent Benchmark Index must not exceed the maximum deviation allowed by HOSE and the Law, in which the tracking error from the Benchmark Index is determined as prescribed in Appendix XIX of the Circular 98/2020/TT-BTC dated November 16, 2020 and the related regulations of HOSE (may be changed and adjusted from time to time).</p> <p>9.5 The Fund's investment portfolio must align with the Benchmark Index's portfolio in terms of asset structure and asset weight, including at least 50% of</p>	<p><del>9.4 The Fund's Structured Securities Portfolio must be consistent with the Structured Securities portfolio of the Reference Index in terms of structure and proportion of assets as prescribed in Article 9.5 of this Regulation and ensure that the deviation from the latest Reference Index does not exceed the maximum deviation as prescribed by HOSE and the provisions of Law, in which the deviation from the Reference Index is determined according to the provisions of Appendix XIX of Circular 98/2020/TT-BTC dated November 16, 2020 and relevant regulations of HOSE (may be changed and adjusted from time to time).</del></p> <p><b>9.54 The Fund's Component securities shall comply with the following provisions: The Fund's investment portfolio must align with the Benchmark Index's portfolio in terms of asset structure and asset weight, including at least 50% of the</b></p>	Amending and supplementing Clause 3, Article 17 of Circular 136/2025/TT-BTC effective from 12/02/2026

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>the Component securities that form the Benchmark Index (the Benchmark Index's securities portfolio) and the value of the component securities is not smaller than 95% of the value of the corresponding basket of the Benchmark Index. The investment portfolio's tracking error does not exceed the maximum tracking error allowed by HOSE and the provisions of the Law.</p> <p>9.6 The Fund's investment portfolio includes component securities in the Benchmark Index's basket and the following financial assets in Vietnam:</p> <p>(a) Fixed Income Assets:</p> <p>(i) Deposits at commercial banks as prescribed by the law on banking;</p> <p>(ii) Money market instruments, including valuable papers and negotiable instruments are as prescribed in the banking sector;</p> <p>(iii) Government debt instruments, Government-backed bonds, municipal bonds; and</p> <p>(iv) Listed bonds of issuers legally established and operating.</p>	<p>Component securities that form the Benchmark Index (the Benchmark Index's securities portfolio) and the value of the component securities is not smaller than 95% of the value of the corresponding basket of the Benchmark Index. <del>The investment portfolio's tracking error does not exceed the maximum tracking error allowed by HOSE and the provisions of the Law.</del></p> <p>9.65 The Fund's investment portfolio includes component securities in the Benchmark Index's basket and the following financial assets in Vietnam:</p> <p>(a) Fixed Income Assets:</p> <p>(i) Deposits at commercial banks as prescribed by the law on banking;</p> <p>(ii) Money market instruments, including valuable papers and negotiable instruments are as prescribed by law as prescribed in the banking sector;</p> <p>(iii) Government debt instruments, Government-backed bonds, municipal bonds; and</p> <p>(iv) Listed bonds on the Stock Exchange, bonds offered to the public by issuers legally established and operating.</p> <p>(b) Public stocks and fund certificates:</p>	

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		<p>(b) Public stocks and fund certificates:</p> <p>(i) Listed stocks and stocks registered for trading, public fund certificates.</p> <p>(c) Derivative instruments:</p> <p>The Fund can invest in derivative securities listed and traded on Stock Exchanges of Vietnam. However, this investment only serves the goal of hedging and minimizing deviations from the Benchmark Index.</p> <p>(d) Other assets arising from the ownership of securities in the Fund's portfolio.</p>	<p>(i) Listed stocks, and stocks registered for trading, public fund certificates, <b>and stocks offered to the public.</b></p> <p>(c) Derivative instruments:</p> <p>The Fund can invest in derivative securities listed and traded on Stock Exchanges of Vietnam. However, this investment only serves the goal of hedging <b>for the underlying securities held by the Fund</b> and minimizing deviations from the Benchmark Index.</p> <p>(d) <b>Rights arising from securities held by the Fund</b><del>Other assets arising from the ownership of securities in the Fund's portfolio.</del></p>	
8.	Chapter 2- Article 10. Investment Restrictions	<p>10.1 The Fund's investments will be diversified and meet the conditions referred to as in this Charter and the provisions of the Law. The Fund's investment portfolio structure must comply with the following rules:</p> <p>(a) The Fund shall not invest in more than ten percent (10%) of the total value of outstanding securities of an issuer, except for Government debt instruments;</p> <p>(b) The Fund shall not invest in more than twenty percent (20%) of the total value of the Fund's assets in outstanding securities and assets in section</p>	<p>10.1 The Fund's investments will be diversified and meet the conditions referred to as in this Charter and the provisions of the Law. The Fund's investment portfolio structure must comply with the following rules:</p> <p>(a) The Fund shall not invest in <b>securities of a single issuer</b> more than ten percent (10%) of the total value of outstanding securities of <del>an</del> <b>that</b> issuer, except for Government debt instruments;</p> <p>(b) The Fund shall not invest in more than twenty percent (20%) of the total value of the Fund's assets in outstanding securities</p>	<p>Amending and supplementing Article 22 of Circular 136/2025/TT-BTC effective from February 12, 2026 and clarifying the meaning</p>

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		<p>9.6(a)(i) and (ii) of the same issuer, except Government debt instruments;</p> <p>(c) The Fund shall not invest in more than thirty percent (30%) of the Fund's total asset value in the assets referred to as in sections 9.6 (a) (i)(ii) &amp; (iv), 9.6 (b) and 9.6 ( c) issued by the companies in the same group of companies that have ownership relationships with each other in the following cases: parent company, subsidiary company; companies own more than 35% of each other's shares/stakes; group of subsidiaries with the same parent company, except where they are Component securities included in the Benchmark Index;</p> <p>(d) The Fund shall not invest in its Fund Certificate;</p> <p>(e) The Fund may invest in in other public fund certificates and shares of other securities investment companies managed by other fund management companies but shall not:</p> <ul style="list-style-type: none"> <li>- Invest in more than 10% of the total number of outstanding fund certificates of a public fund, or the total outstanding shares of a public securities investment company;</li> </ul>	<p>and assets in section 9.56(a)(i) and (ii) of the same issuer, except Government debt instruments;</p> <p>(c) Except where they are Component securities included in the Benchmark Index, tThe Fund shall not invest in more than thirty percent (30%) of the Fund's total asset value in the assets referred to as in sections 9.56 (a) (i)(ii) &amp; (iv), 9.56 (b) and 9.56 ( c) issued by the companies in the same group of companies that have ownership relationships with each other in the following cases: parent company, subsidiary company; companies own more than <b>thirty-five percent (35%)</b> of each other's shares/stakes; group of subsidiaries (ii) with the same parent company., <del>except where they are Component securities included in the Benchmark Index;</del></p> <p>(d) The Fund shall not invest in its Fund Certificate;</p> <p>(e) The Fund may invest in in other public fund certificates and shares of other securities investment companies managed by other fund management companies but shall not:</p> <ul style="list-style-type: none"> <li>- Invest in more than <b>ten percent (10%)</b> of the total number of outstanding fund certificates of a public fund, or the total outstanding shares of a public securities investment company;</li> <li>- Invest more than <b>twenty percent (20%)</b> of the total value of its assets in fund certificates of a public fund or a public securities investment company;</li> </ul>	

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		<p>- Invest more than 20% of the total value of its assets in fund certificates of a public fund or a public securities investment company;</p> <p>- Invest more than 30% of the total value of its assets in public fund certificates or shares of public securities investment companies.</p> <p>(f) The Fund shall not invest in real estate, unlisted shares, unregistered shares of public companies, capital contributions in limited liability companies, or privately issued bonds, except when these are assets arising from the rights of the assets' owners;</p> <p>(g) The Fund shall not invest in securities issued by the Fund management company, affiliated persons of the Fund management company, or Authorized Participants, unless they are Component securities in the portfolio of the Benchmark Index; and</p> <p>(h) At all times, the total committed value in derivatives contracts/transactions and outstanding payables of the Fund shall not be more than the Fund's Net Asset Value.</p> <p>10.2 The structure of the Fund's investment portfolio referred to as in Articles 10.1(a), (b), (c) and (e) above</p>	<p>- Invest more than <b>thirty percent (30%)</b> of the total value of its assets in public fund certificates or shares of public securities investment companies.</p> <p><del>(f) The Fund shall not invest in real estate, unlisted shares, unregistered shares of public companies, capital contributions in limited liability companies, or privately issued bonds, except when these are assets arising from the rights of the assets' owners;</del></p> <p>(g) The Fund shall not invest in securities issued by the Fund management company, affiliated persons of the Fund management company, or Authorized Participants, unless they are Component securities in the portfolio of the Benchmark Index; and</p> <p>(h) At all times, the total committed value in derivatives contracts/transactions and outstanding payables of the Fund shall not be more than the Fund's Net Asset Value.</p> <p>10.2 The structure of the Fund's investment portfolio referred to as in Articles 10.1(a), (b), (c) and (e) above may be exceed the limits only from the following reasons:</p> <p>(a) <del>Due to</del> Fluctuations in market price of assets in the Fund's investment portfolio;</p>	

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		<p>may be exceed the limits only from the following reasons:</p> <p>(a) Due to fluctuations in market price of assets in the Fund's investment portfolio;</p> <p>(b) Due to the need to make legal payments of the Fund as prescribed by the law;</p> <p>(c) Due to the execution Basket of component securities exchange activities;</p> <p>(d) Due to division, consolidation, and merger of issuers;</p> <p>(e) The Benchmark Index's stock portfolio structure changes; or</p> <p>(f) The Fund is in the process of dissolution or the Fund's operating period from the date of issuance of the fund establishment registration certificate has not exceeded three (03) months.</p> <p>...</p> <p>10.6 The Fund management company shall not borrow to finance the Fund's activities, except in the case of short-term loans to cover necessary expenses for the Fund. The total value of the Fund's short-term</p>	<p>(b) Due to <del>t</del>The need to make legal payments of the Fund as prescribed by the law, including the execution of Exchange trade orders for Investors;</p> <p><del>(c) Due to the execution Basket of component securities exchange activities;</del></p> <p><del>(d)</del>(c) Due to division, consolidation, and merger of issuers;</p> <p><del>(e)</del>(d) The Benchmark Index's stock portfolio structure changes; or</p> <p><b>(e) The Fund has been newly established and its Operating term has not exceeded three (03) months from the date the Fund Establishment Registration Certificate was granted;The Fund is in the process of dissolution or the Fund's operating period from the date of issuance of the fund establishment registration certificate has not exceeded three (03) months.</b></p> <p><b>(f) The Fund is in the process of dissolution.</b></p> <p>...</p> <p>10.6 The Fund management company shall not borrow <b>for investment purposes</b>to finance the Fund's activities, except in the case of short-term loans to cover necessary expenses for the Fund. The total value of the Fund's short-term loans must not exceed five percent (5%) of the Fund's Net Asset Value at any time and the maximum term of a loan is thirty (30) days.</p>	

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		loans must not exceed five percent (5%) of the Fund's Net Asset Value at any time and the maximum term of a loan is thirty (30) days.		
9.	Chapter 3-Article 13. Rights and Obligations of Investors	<p>13.1 The Investor has the following rights:</p> <p>(a) To be treated fairly, each fund certificate gives the holder equal rights, obligations, and benefits;</p> <p>(b) To freely transfer fund certificates, except in cases where transfer is restricted as prescribed by the law and the Fund Charter;</p> <p>(c) To fully access to periodic and extraordinary information about the fund's activities;</p> <p>(d) To participate in General Meetings of Investors and exercise voting rights in person or through authorized representatives or conduct remote voting (send mail, fax, email, attend online conferences, vote electronically or in other electronic forms);</p> <p>(e) Other rights as prescribed in Law on Securities and this Charter;</p>	<p>13.1 The Investor has the following rights:</p> <p><b>(a) Rights and obligations as prescribed in Article 101 of the Law on Securities;</b></p> <p><del>(a)</del>(b) To be treated fairly, each fund certificate gives the holder equal rights, obligations, and benefits;</p> <p><del>(b)</del>(c) To freely transfer fund certificates, except in cases where transfer is restricted as prescribed by the law and the Fund Charter;</p> <p><del>(e)</del>(d) To fully access to periodic and extraordinary information about the fund's activities;</p> <p><del>(d)</del>(e) To participate in General Meetings of Investors and exercise voting rights in person or through authorized representatives or conduct remote voting (send mail, fax, email, attend online conferences, vote electronically or in other electronic forms);</p> <p><del>(e)</del>(f) Other rights as prescribed in Law on Securities and this Charter; ...</p>	Adjusting the content to suit the provisions of legal documents

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
			13.3 An investor or group of investors owning <b>five percent (5%)</b> or more of the total number of outstanding Fund Certificates has the following rights:	
10.	Full text	ID card number or citizen identification card number	<del>ID card number or citizen identification card number</del> <b>personal identification card</b>	Adjustment according to the provisions of Clause 2, Article 28 of Circular 136/2025/TT-BTC effective from February 12, 2026
11.	Chapter 3-Article 15. Investor Register	<p>15.1 Within a period of five (05) days from the effective date of the fund establishment registration certificate, the Fund management company will prepare or authorize the Transfer Agent to prepare the Investor Register to confirm the ownership of Authorized Participants and Investors for the number of Creation Units purchased.</p> <p>15.2 The Investor Register will consist of the following information:</p> <p>(a) Name and headquarters address of the Fund management company; name and headquarters address of the Supervisory Bank; Full name of the Fund.</p> <p>(b) Total number of Creation Units sold, structure and details of portfolio, value of mobilized capital;</p>	<p>15.1 Within a period of five (05) <b>business</b> days from the effective date of the fund establishment registration certificate, the Fund management company will prepare or authorize the Transfer Agent to prepare the Investor Register to confirm the ownership of Authorized Participants and Investors for the number of Creation Units purchased.</p> <p>15.2 The Investor Register will consist of the following information:</p> <p>(a) Name and headquarters address of the Fund management company; name and headquarters address of the Supervisory Bank; Full name of the Fund, <b>and the listed ticker of the Fund (if any)</b>.</p> <p>(b) Total number of Creation Units sold, structure and details of portfolio, value of mobilized capital;</p>	Adjusting the content to suit the provisions of legal documents

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>(c) List of Authorized Participants and Investors and the following information:</p> <p>(i) For an individual investor: full name of the Investor, ID card number or citizen identification number or valid passport, contact address, phone number and email address (if any); and</p> <p>(ii) For an institutional investor: full name, abbreviation, transaction name, headquarters address, establishment and operation license number/business registration certificate number; Full name, ID card number or citizen identification number or valid passport number, address, phone number and email of the person authorized to represent the Fund Certificates owned by that organization.</p> <p>...</p> <p>15.3 The Fund management company and related service providers must obtain complete information about each Investor as prescribed by the law. Information about the Investor's ownership percentage in the Investor Register will be the proof of the Investor's ownership of the Fund Certificates. This ownership is established from the time information of this holding is updated in the Investor Register.</p>	<p>(c) List of Authorized Participants and Investors and the following information:</p> <p>(i) For an individual investor: full name of the Investor, <b>personal identification number</b> <del>ID card number or citizen identification card number</del> or passport number or other legal personal identification ID card number or citizen identification number or valid passport, correspondence address, phone number and email address (if any); and</p> <p>(ii) For an institutional investor: full name, abbreviation, transaction name, headquarters address, establishment and operation license number/business registration certificate number; Full name, ID card number or citizen identification number or valid passport number, address, phone number and email of the person authorized to represent the Fund Certificates owned by that organization.</p> <p>...</p> <p>15.3 The Fund management company and <b>the transfer agent</b> <del>related</del>-service providers must obtain complete information about each Investor as prescribed by the law. Information about the Investor's ownership percentage in the Investor Register will be the proof of the Investor's ownership of the Fund Certificates. This ownership is established from the time information of this holding is updated in the Investor Register.</p>	

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		<p>15.4 Within ten (10) days as of the effective date of the fund establishment registration certificate, the Fund management company or related service providers must register and deposit the Creation Units for Authorized Participants and Investors at VSDC. Applications for registration and depository of Creation Units are carried out with VSDC's instructions.</p>	<p>15.4 Within ten (10) days as of the effective date of the fund establishment registration certificate, the Fund management company or <b>the transfer agent</b> related-service providers must register and deposit the Creation Units for Authorized Participants and Investors at VSDC. Applications for registration and depository of Creation Units are carried out with VSDC's instructions.</p>	
12.	<p>Chapter 3- Article 16. Exchange trading of Basket of component securities for Fund Certificates and Vice versa (Primary Trading)</p>	<p>16.1 Trading frequency of the Fund:  (a) Investors can make exchange trading of Fund Certificates on the Exchange - traded date. Exchange - traded dates are executed daily on Business Days. In case the Exchange- traded Date is not a Business Day, the Exchange- traded Date will be the next Business Day.  (b) Changes to the Exchange - traded date will be notified in advance to the Order recipient and announced on the websites of the Fund management company and HOSE. The Fund management company may change the trading frequency and/or Exchange - traded date when it is necessary, provided that it is approved by the General Meeting of Investors as prescribed herein and the Law, the minimum frequency of exchange trading must not be less than twice (02) per (01) month.</p>	<p>16.1 Trading frequency of the Fund:  (a) Investors can make exchange trading of Fund Certificates on the Exchange - traded date. Exchange - traded dates are executed daily <b>from Monday to Friday</b> on Business Days. In case the Exchange- traded Date is not a Business Day, <b>or a compensation workday as decided by competent state authorities on which the Stock Exchange does not organize securities trading</b>, the Exchange- traded Date will be the next Business Day.  (b) Changes to the Exchange - traded date will be notified in advance to the Order recipient, <b>disclosed in the Prospectus</b> and announced on the websites of the Fund management company and HOSE. The Fund management company may change the trading frequency and/or Exchange - traded date when it is necessary, provided that it is approved by the General Meeting of Investors as prescribed herein and the Law, the minimum frequency of exchange trading must not be less than twice (02) per (01) month.</p>	<p>Supplementing to suit the actual operation of the Fund</p>

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
13.	Chapter 3-Article 16. Swap of structured securities for fund certificates and vice versa (primary trading)	<p>16.3 The exchange of Basket of component securities for Creation units applies to the Authorized Participants and Investors according to the following rules:</p> <p>...</p> <p>(b) The minimum exchange trade unit is one Creation Unit. The Fund management company reserves the right to adjust the number of Fund Certificates in a creation unit, but one creation unit must not consist less than 100,000 Fund Certificates. Where there is an adjustment, the earliest time to apply new Unit Creations is fifteen (15) days from the date information about the size of the new Creation Units announced on websites of HOSE, VSDC, Fund management company, Authorized Participants and Distributors;</p> <p>(c) Component securities/Fund Certificates for exchange trading:</p> <p>(i) Component securities for exchange trading: means securities included in the Basket of Component Securities according to the announcement of the Fund management company and are freely transferable securities that are being deposited on the custody account of Investors or Authorized Participants.</p>	<p>16.3 The exchange of Basket of component securities for Creation units applies to the Authorized Participants and Investors according to the following rules:</p> <p>...</p> <p>(b) The minimum exchange trade unit is one Creation Unit, <b>corresponding to one hundred thousand (100,000) Fund certificates.</b> The Fund management company reserves the right to adjust the number of Fund Certificates in a creation unit, but one creation unit must not consist of less than 100,000 Fund Certificates. Where there is an adjustment, the earliest time to apply new Unit Creations is fifteen (15) days from the date information about the size of the new Creation Units announced on websites of HOSE, VSDC, Fund management company, Authorized Participants and Distributors;</p> <p>(c) Component securities/Fund Certificates for exchange trading:</p> <p>(i) Component securities for exchange trading: means securities included in the Basket of Component Securities according to the announcement of the Fund management company <b>and sent to VSDC in accordance with regulations</b>, and are freely transferable securities that are being deposited on the custody account of Investors or Authorized Participants.</p> <p>(ii) Fund Certificates for exchange trading must be freely transferable and being deposited on the custody account of Authorized Participants or Investors.</p>	<p>To supplement the meaning and to be consistent with the actual operation of the Fund</p>

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>(ii) Fund Certificates for exchange trading must be freely transferable and being deposited on the custody account of Authorized Participants or Investors.</p> <p>(iii) Component Securities/Fund Certificates for exchange trading can be obtained from the following sources:</p> <p>(AA) For Authorized Participants:</p> <ul style="list-style-type: none"> <li>• The Component Securities/Fund Certificates are available in the custody account of the Authorized Participant at the Exchange - traded date and securities pending settlement from purchase orders matched before the Exchange - traded date (T day). ;</li> <li>• The Component securities/Fund Certificates borrowed through VSDC's borrowing and lending system for exchange trade, which are held in the temporary ' blocked securities account of the Authorized Participant.</li> </ul> <p>(BB) For Investors: The Component securities/Fund Certificates are available on the Investor's custody account at the exchange - traded date.</p> <p>...</p>	<p>(iii) Component Securities/Fund Certificates for exchange trading can be obtained from the following sources:</p> <p>(AA) For Authorized Participants:</p> <ul style="list-style-type: none"> <li>• The Component Securities/Fund Certificates are available in the custody account of the Authorized Participant at the Exchange - traded date and securities pending settlement from purchase orders matched before the Exchange - traded date (T day) <b>to ensure sufficient assets at the time of transaction settlement;</b></li> <li>• The Component securities/Fund Certificates borrowed through VSDC's borrowing and lending system for exchange trade, which are held in the temporary' blocked securities account of the Authorized Participant.</li> </ul> <p>(BB) For Investors: Structured Securities/Fund Certificates are available on the Investor's custodial account on the Swap Trading Date.</p> <p>...</p> <p>(e) Exchange trade orders can only be executed when the following conditions are met:</p> <p>(i) Transferred by the Authorized Participant to VSDC before the Order cut-off time. Orders arriving after the Order cut-off time are</p>	

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>(e) Exchange trade orders can only be executed when the following conditions are met:</p> <p>(i) Transferred by the Authorized Participant to VSDC before the Order cut-off time. Orders arriving after the Order cut-off time are considered as invalid trade and will not be executed. The execution procedure is detailed in the Prospectus.</p> <p>(ii) Confirmed by the Fund management company to be eligible to be executed, after VSDC ensures that Authorized Participants and Investors have sufficient Component securities or Creation Units to complete the transaction settlement on the settlement date, the Supervisory Bank confirms the additional amount paid in cash by investors and Authorized Participants to complete the transaction on the settlement date. In the case of selling Fund Certificates, the remaining number of Fund Certificates of the Authorized Participant after the sale must not be less than the minimum quantity required to maintain the status of a Authorized Participant as referred to as in the contract signed by the Authorized Participant with the Fund management company.</p> <p>(f) The exchanging activity of the Basket of component securities for Creation units and vice</p>	<p>considered as invalid trade and will not be executed. The execution procedure is detailed in the Prospectus.</p> <p>(ii) Confirmed by the Fund management company to be eligible to be executed, after VSDC <b>confirms</b> ensures that Authorized Participants and Investors have sufficient Component securities or Creation Units to complete the transaction settlement on the settlement date, the Supervisory Bank confirms the additional amount paid in cash by investors and Authorized Participants to complete the transaction on the settlement date. In the case of selling Fund Certificates, the remaining number of Fund Certificates of the Authorized Participant after the sale must not be less than the minimum quantity required to maintain the status of a Authorized Participant as referred to as in the contract signed by the Authorized Participant with the Fund management company.</p> <p>(f) The exchanging activity of the Basket of component securities for Creation units and vice versa is carried out in the form of book entries on the depository account system of Authorized Participants, Investors and the Fund at VSDC. The transfer of Basket of component securities and Fund Certificates in the settlement process is carried out according to the procedures detailed in the Prospectus and in accordance with VSDC's instructions.</p>	

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>versa is carried out in the form of book entries on the depository account system of Authorized Participants, Investors and the Fund at VSDC. The transfer of Basket of component securities and Fund Certificates in the settlement process is carried out according to the procedures detailed in the Prospectus and in accordance with VSDC's instructions.</p>	<p><b>(f) The payment of any price difference arising during the execution of the exchange transaction (if any) is specifically stipulated in the Prospectus.</b></p>	
14.	<p>Chapter 3- Article 16. Exchange trading of Basket of component securities for Fund Certificates and Vice versa (Primary Trading)</p>	<p>16.4 Procedure for executing an exchange trade:</p> <p>(a) Before trading time on the exchange - traded date, the Fund management company notifies Authorized Participants, Distributors, VSDC and announces on the website of the Fund management company and HOSE, VSDC announces information about the Basket of component securities to exchange for a creation unit and the difference between the value of the Basket of Component Securities and the net asset value of the Creation units and handling plans, including cases where payment is made in cash (if any). This Basket of component securities is determined based on closing price on the trading day immediately preceding the exchange - traded date and includes information about the Component securities tickers, weights, and quantities in the portfolio.</p>	<p>16.4 Procedure for executing an exchange trade:</p> <p>(a) <del>Before trading time on</del> <b>Before the exchange trading session or at the end of the day immediately preceding</b> the exchange - traded date, the Fund management company notifies Authorized Participants, Distributors, VSDC and announces on the website of the Fund management company and HOSE, <del>VSDC</del> announces information about the Basket of component securities to exchange for a creation unit and the difference between the value of the Basket of Component Securities and the net asset value of the Creation units and handling plans, including cases where payment is made in cash (if any), <b>information on restricted component securities permitted for cash substitution by Authorized Participants/Investors, accompanied by information on restricted component securities with arising rights to be paid in cash (if any) for the exchange transaction of Creation Units for the Basket of Component Securities.</b> This Basket of component securities is determined based on closing price on the trading day immediately preceding the exchange - traded date and includes</p>	<p>Amending and supplementing according to the provisions of Clauses 1 and 2, Article 21 of Circular 136/2025/TT-BTC effective from 12/02/2026</p>

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>(b) The Investor's Exchange trade order is transmitted to the Authorized Participant directly or through a Distributor as prescribed herein and the process is detailed in the Prospectus. In case the Authorized Participant or Distributor cannot receive Exchange trade orders from Investors due to dissolution, bankruptcy, revocation of establishment and operation license, suspension, temporary cessation of operations, or due to technical errors in the information technology system or caused by a Force Majeure event, the Exchange trade orders of Authorized Participants and Investors will be directly forwarded to the Fund management company or Supervisory Bank. The Fund management company or Supervisory Bank then transfers the order to VSDC before the market closes according to VSDC's instructions.</p> <p>(c) The Exchange trade orders of Investors and Authorized Participants are transferred by the Authorized Participants to VSDC before the market closes in accordance with VSDC's instructions.</p> <p>(d) Within a maximum period of one Business Day from the Exchange - traded date (T+1 day), if an error or mistake is detected during the process of placing orders, synthesizing information, receiving orders,</p>	<p>information about the Component securities tickers, weights, and quantities in the portfolio.</p> <p>(b) The Investor's Exchange trade order is transmitted to the Authorized Participant directly or through a Distributor as prescribed herein and the process is detailed in the Prospectus. In case the Authorized Participant or Distributor cannot receive Exchange trade orders from Investors due to dissolution, bankruptcy, revocation of establishment and operation license, suspension, temporary cessation of operations, or due to technical errors in the information technology system or caused by a Force Majeure event, the Exchange trade orders of Authorized Participants and Investors will be directly forwarded to the Fund management company or Supervisory Bank. The Fund management company or Supervisory Bank then transfers the order to VSDC before the market closes according to VSDC's instructions.</p> <p>(c) The Exchange trade orders of Investors and Authorized Participants are transferred by the Authorized Participants to VSDC before the <del>Cut-off time</del>market closes in accordance with the Fund Charter and VSDC's instructions.</p> <p>(d) <b>Before the Cut-off time</b><del>Within a maximum period of one Business Day from the Exchange - traded date (T+1 day),</del> if an error or mistake is detected during the process of placing orders, synthesizing information, receiving orders, transferring, and</p>	

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>transferring, and entering orders into the system, Authorized Participants and Distributors must notify VSDC and request to correct trading errors according to VSDC's procedures and instructions. After this period, the Authorized Participants and Distributors are solely responsible to Investors for trading errors caused by them.</p> <p>(e) After receiving the exchange trade order from the Authorized Participant or the Investor, VSDC is responsible for verifying the feasibility of the order from the Authorized Participant or Investor, ensuring compliance with the provisions of Article 16.4(e), confirming and executing the exchange trade order of the Authorized Participant or Investor according to the provisions of this clause, detailed provisions in the Prospectus and VSDC's procedures.</p> <p>(f) Within a maximum period of one Business Day from the Exchange - traded date (T+1), VSDC will complete the transfer of the Basket of component securities from the account of the Authorized Participant and Investor to the Fund account, and at the same time register and deposit the corresponding number of Fund Certificates into the custody accounts of Authorized Participants and Investors and vice versa. The receipt and refund of cash payments</p>	<p>entering orders into the system, Authorized Participants and Distributors must notify VSDC, <b>the Fund Management Company, and the Supervisory Bank</b> and request to correct trading errors according to VSDC's procedures and instructions. After this period, the Authorized Participants and Distributors are solely responsible to Investors for trading errors caused by them.</p> <p>(e) After receiving the exchange trade order from the Authorized Participant or the Investor, VSDC is responsible for verifying the feasibility of the order from the Authorized Participant or Investor, ensuring compliance with the provisions of Article 16.34(e), confirming and executing the exchange trade order of the Authorized Participant or Investor according to the provisions of this clause, detailed provisions in the Prospectus and VSDC's procedures.</p> <p>(f) <b>Within three (03) Business days</b> <del>Within a maximum period of one Business Day</del> from the Exchange - traded date (T+1), VSDC will complete the transfer of the Basket of component securities from the account of the Authorized Participant and Investor to the Fund account, and at the same time register and deposit the corresponding number of Fund Certificates into the custody accounts of Authorized Participants and Investors and vice versa. The receipt and refund of cash payments generated from the exchange trading are referred to as in Article 16.7 of this Charter and detailed in the Prospectus. The Fund Management Company is responsible for confirming the trading</p>	

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		generated from the exchange trading are referred to as in Article 16.7 of this Charter and detailed in the Prospectus. The Fund management company is responsible for confirming the trading completion and VSDC confirms the ownership for the Authorized Participants and Investors.	completion and VSDC confirms the ownership for the Authorized Participants and Investors.  <b>(f)(g) Within three (03) Business days from the Exchange - traded date, the Supervisory Bank must complete the return of cash payments to the Authorized Participant or Investor as stipulated in Article 16.5 of this Fund Charter upon the legal request of the Fund Management Company.</b>	
15.	Chapter 3- Article 16. Exchange trading of Basket of component securities for Fund Certificates and Vice versa (Primary Trading)	16.5 The Basket of component securities is the main means of payment in exchange trade between the Fund and Authorized Participants and Investors, except in the following cases:  (a) In the case exchanging the Basket of component securities for Creation units, if the value of the Basket of component securities is lower than the net asset value of the Creation units. The difference is paid by Authorized Participants and Investors to the Fund's account opened at the Supervisory Bank according to the procedures detailed in the Prospectus.  (b) In the case exchanging Creation units for a Basket of component securities, if the value of Creation units received by the Fund management company from Authorized Participants and Investors exceeds the value of the Basket of component securities, the difference is paid in cash by the Fund management	16.5 The Basket of component securities is the main means of payment in exchange trade between the Fund and Authorized Participants and Investors, except in the following cases:  (a) In the case exchanging the Basket of component securities for Creation units, if the value of the Basket of component securities is lower than the net asset value of the Creation units. The difference is paid by Authorized Participants and Investors to the Fund's account opened at the Supervisory Bank according to the procedures detailed in the Prospectus.  (b) In the case exchanging Creation units for a Basket of component securities, if the value of Creation units received by the Fund management company from Authorized Participants and Investors exceeds the value of the Basket of component securities, the difference is paid in cash by the Fund management company and Supervisory Bank to Authorized Participants and Investors according to the procedures detailed in the Prospectus.	Supplementing the meaning to be consistent with the actual operation of the Fund and in accordance with the provisions of legal documents

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>company and Supervisory Bank to Authorized Participants and Investors according to the procedures detailed in the Prospectus.</p> <p>(c) In the case where the Basket of component securities includes securities in which the Authorized Participant or Investors are restricted from investing in accordance with the provisions of the Law, or the Authorized Participants or Investors have not completed the procedures for trading treasury shares as required by the relevant Law, Authorized Participants and Investors will make the additional payment in cash to the Fund's account according to the process detailed in the Prospectus.</p> <p>(d) In the case where the securities in the Exchange Basket of component securities that are required to be purchased on behalf have corporate actions arising during the period of executing the exchange trade until the completion of the purchase on behalf; for cash dividends, the Authorized Participants and Investors will make cash payments to the Fund after receiving notification from the Fund management company; for stock dividends, bonus shares, and rights to purchase additional shares, the Fund will purchase an additional shares corresponding to the</p>	<p>(c) In the case where the Basket of component securities includes securities in which the Authorized Participant or Investors are restricted from investing in accordance with the provisions of the Law, <b>or the Authorized Participant or Investor owns shares issued by themselves for which</b> the Authorized Participants or Investors have not completed the procedures for trading treasury shares as required by the relevant Law, Authorized Participants and Investors will make the additional payment in cash to the Fund's account according to the process detailed in the Prospectus. <b>The Authorized Participant or Investor shall notify the Fund Management Company of the securities tickers for which supplemental cash is being used, shall be solely responsible, and shall provide explanations upon request from regulatory authorities and the Fund Management Company.</b> <del>In the case where the Basket of component securities includes securities in which the Authorized Participant or Investors are restricted from investing in accordance with the provisions of the Law, or the Authorized Participants or Investors have not completed the procedures for trading treasury shares as required by the relevant Law, Authorized Participants and Investors will make the additional payment in cash to the Fund's account according to the process detailed in the Prospectus.</del></p> <p><b>(d)</b> In the case where the securities in the Exchange Basket of component securities that are required to be purchased on</p>	

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>number of shares entitled to the rights according to the procedures detailed in the Prospectus.</p> <p>...</p> <p>16.7 When the Fund receives Creation units from Authorized Participants and Investors and returns the Basket of component securities to Authorized Participants and Investors, and the following cases occur:</p> <p>(a) The ownership ratio of the Component securities by the Authorized Participants and Investors exceeds the maximum allowed ownership ratio of the Authorized Participants and Investors as prescribed by the law, calculated at 4:00 p.m. on the day VSDC executes the transfer of ownership (T+1); or</p> <p>...</p> <p>16.10 The Fund management company reserves the right to temporarily stop receiving and executing Exchange trade orders for Creation units from Authorized Participants and Investors in the following cases:</p> <p>(a) HOSE changes the structure of Benchmark Index portfolio;</p> <p>(b) Securities issuers accounting for 0.1% of the Fund's investment portfolio are bankrupt or dissolved; or securities accounting for 0.1% of the Fund's</p>	<p>behalf have corporate actions arising during the period of executing the exchange trade until the completion of the purchase on behalf; for cash dividends, the Authorized Participants and Investors will make cash payments to the Fund after receiving notification from the Fund management company; for stock dividends, bonus shares, and rights to purchase additional shares, the Fund will purchase an additional shares corresponding to the number of shares entitled to the rights according to the procedures detailed in the Prospectus.</p> <p>...</p> <p>16.7 When the Fund receives Creation units from Authorized Participants and Investors and returns the Basket of component securities to Authorized Participants and Investors, and the following cases occur:</p> <p>(a) The ownership ratio of the Component securities by the Authorized Participants and Investors exceeds the maximum allowed ownership ratio of the Authorized Participants and Investors as prescribed by the law, calculated at <del>4:00 p.m.</del> on the day VSDC executes the transfer of ownership <b>of the component securities to the Authorized Participant or Investor(T+1)</b>; or</p> <p>...</p> <p>16.10 The Fund management company reserves the right to temporarily stop receiving and executing Exchange trade orders for Creation units from Authorized Participants and Investors in the following cases:</p>	

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>investment portfolio are suspended from trading or delisted;</p> <p>(c) The Fund's Basket of component securities and Net Asset Value of the Fund cannot be determined on the trading date immediately preceding the Exchange - traded date due to HOSE's decision to suspend trading securities in the Fund's investment portfolio;</p> <p>(d) Restructuring the investment portfolio to reduce deviations from the Benchmark Index;</p> <p>(e) The Fund management company, Supervisory Bank, and VSDC cannot perform the Exchange transaction due to the occurrence of a Force Majeure event;</p> <p>(f) HOSE stops providing and publishing the Benchmark Index without having a replacement of Benchmark Index;</p> <p>(g) The Fund management company is in the process of changing the Benchmark Index to another Benchmark Index as approved by the SSC;</p> <p>(h) Other cases are prescribed by the law and the Fund's Charter.</p> <p>16.11 Within 24 hours from the occurrence of one of the events referred to as in Article 16.11 above, the Fund management company must report to the SSC and simultaneously disclose the information on HOSE's website. The Fund management company, Authorized Participants, and Distributors shall resume</p>	<p>(a) HOSE changes the structure of Benchmark Index portfolio;</p> <p>(b) Securities issuers <b>of the securities</b> <del>accounting for 0.1%</del> of the Fund's investment portfolio are bankrupt or dissolved; or securities <del>accounting for 0.1%</del> of the Fund's investment portfolio are suspended from trading or delisted;</p> <p>(c) The Fund's Basket of component securities and Net Asset Value of the Fund cannot be determined on the trading date immediately preceding the Exchange - traded date due to HOSE's decision to suspend trading securities in the Fund's investment portfolio;</p> <p>(d) Restructuring the investment portfolio to reduce deviations from the Benchmark Index;</p> <p>(e) The Fund management company, Supervisory Bank, and VSDC cannot perform the Exchange transaction due to the occurrence of a Force Majeure event;</p> <p>(f) HOSE stops providing and publishing the Benchmark Index without having a replacement of Benchmark Index;</p> <p>(g) The Fund management company is in the process of changing the Benchmark Index to another Benchmark Index as approved by the SSC;</p> <p>(h) <b>The Fund Management Company has the right to temporarily suspend the receipt and execution of Exchange trade orders of Basket of Component Securities for Creation Units from Investors and Authorized Participants when it perceives that the receipt and execution of such Exchange trade orders may result in the investment portfolio of the</b></p>	

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>receiving and executing Exchange transaction for Investors immediately after these events end.</p> <p>16.12 The temporary suspension period of Fund Certificate trading cannot exceed thirty (30) days from the most recent Exchange - traded date. In case of temporary suspension of Fund Certificate trading due to the reasons referred to as in point (a), (b) and (c) of Article 16.11 of this Charter, the maximum period of temporary suspension of receiving and executing Exchange trade orders is three Business Days before and after the end of these events.</p>	<p><b>Fund violating investment restriction regulations as prescribed in Point a, Clause 3, Article 45 of Circular 98/2020/TT-BTC and any amending or supplementing regulations (if any) of Circular 98/2020/TT-BTC;</b></p> <p><del>(h)</del><b>(i)</b> Other cases are prescribed by the law, and the Fund 's Charter <b>and as disclosed in the Prospectus, or as the SSC deems necessary.</b></p> <p>16.11 Within 24 hours from the occurrence of one of the events referred to as in Article 16-11 <del>above of this Charter</del>, the Fund management company must report to the SSC and simultaneously disclose the information on HOSE's website. The Fund management company, Authorized Participants, and Distributors shall resume receiving and executing Exchange transaction for Investors immediately after these events end.</p> <p>16.12 The temporary suspension period of Fund Certificate trading cannot exceed thirty (30) days <b>from the date of suspension</b> <del>most recent Exchange – traded date</del>. In case of temporary suspension of Fund Certificate trading due to the reasons referred to as in point (a), (b) and (c) of Article 16.101 of this Charter, the maximum period of temporary suspension of receiving and executing Exchange trade orders is <b>three (03)</b> Business Days before and after the end of these events.</p>	
16.	Chapter 3-Article 16. Swap of structured securities for fund certificates	16.9 During the time that the Fund management company has not successfully sold the Component securities beyond the ownership ratio mentioned above, and the record date for receiving dividends or	16.9 During the period when the Fund Management Company has not successfully sold the Structured Securities in excess of the above ownership ratio and the closing date of the list to receive dividends or call rights of these Structured Securities	Brief editing

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
	and vice versa (primary trading)	<p>purchase rights of these component securities arises, the Fund Management Company will proceed as follows:</p> <p>(a) For cash dividends: Investors and Authorized Participants will be paid in cash after the Fund receives the dividend payment.</p> <p>(b) For stock dividends bonus shares: The Fund management company will pay in cash to Investors and Authorized Participants after receiving the number of shares and successfully selling them.</p> <p>(c) For the purchase rights: the Fund management company will use the proceeds from selling such securities (if they are successfully sold beforehand) to exercise the purchase rights, provided that the exercise price is lower than the market price on the registration date for exercising the rights. After the shares obtained through the purchase rights are credited to the Fund's account, the Fund will sell these shares and pay the proceeds to the Investors, Authorized Participants.</p> <p>Payments related to the above dividends or purchase rights will be made three Business Days from the date the Fund receives money or successfully sells shares</p>	<p>arises, the Fund Management Company shall follow the process <b>with detailed in the Prospectus</b> as follows:</p> <p><del>(a) For cash dividends: Investors and Fund Founding Members will be paid in cash after the Fund receives such dividends.</del></p> <p><del>(b) For dividends in shares and bonus shares: The Fund Management Company will pay in cash to the Investor or Fund Founding Member after receiving such shares and successfully selling the shares.</del></p> <p><del>(c) For the right to buy, the Fund Management Company will use the money from the sale of such securities (if the sale has been successful before) to exercise the option provided that the exercise price is less than the market price on the date of registration for exercise of the right, after the shares exercised by the right to buy to the Fund's account, the Fund will sell the shares vouchers and payment to Investors and Fund Founding Members.</del></p>	

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		<p>arising from dividends or from the exercise of these rights.</p> <p>Allocation of refund amounts to Authorized Participants and Investors is carried out according to the following rules:</p> <p>(i) Calculation based on the First-In, First Out (FIFO) principle: Orders placed earlier will be allocated refunds first.</p> <p>(ii) The allocation rate will depend on the Fund's payment capacity as well as the amount of proceeds from selling securities through matching transactions.</p> <p>(iii) In cases where the Fund has orders to sell the same stock in the portfolio on the same day, the orders to sell on behalf of the Authorized Participants and Investor will be executed before the sell orders for the Fund to refund the money to the Authorized Participants and Investor.</p> <p>Payment to Authorized Participants and Investors is trading value after deducting taxes and transaction costs as stipulated by relevant laws. As soon as the number of securities that need to be sold on behalf of Authorized Participants, Investors arises, these</p>		

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		securities will be accounted for separately. The Supervisory Bank will make payments to Authorized Participants and Investors based on the calculation and allocation results of the Fund management company.		
17.	Chapter 3- Article 18. Creation fee, Redemption fee, Creation price, Redemption price	<p>...</p> <p>18.2 Creation fee is applied when Authorized Participants and Investors purchase Creation units in the initial issuance or when conducting the exchange trading of the Basket of component securities for Creation units. The Creation fee is charged on a percentage of trading value. The Creation fee is determined by the Fund management company but must not exceed zero point five percent (0.5%) of trading value (applicable to Authorized Participants) and one percent (1%) trading value (applicable to Investors) and it must be disclosed in the Prospectus.</p> <p>18.3 Redemption fee is applied when a Authorized Participant and an Investor exchanges Creation units for a Basket of component securities. The Redemption fee is charged on percentage of the value of the Exchange trade order as determined by the Fund management company. The Fund management company reserves the right to change the Redemption fee structure to include selling restrictions, to apply different selling prices or anti-dilution fees. The Fund management company must,</p>	<p>...</p> <p>18.2 Creation fee is applied when Authorized Participants and Investors purchase Creation units in the initial issuance or when conducting the exchange trading of the Basket of component securities for Creation units <b>and is specifically stipulated in the authorized participant contract.</b> The Creation fee is charged on a percentage of trading value. The Creation fee is determined by the Fund management company but must not exceed zero point five percent (0.5%) of trading value (applicable to Authorized Participants) and one percent (1%) trading value (applicable to Investors) and it must be disclosed in the Prospectus.</p> <p>18.3 Redemption fee is applied when a Authorized Participant and an Investor exchanges Creation units for a Basket of component securities. The Redemption fee is charged on percentage of the value of the Exchange trade order as determined by the Fund management company. The Fund management company reserves the right to change the Redemption fee structure to include selling restrictions, to apply different selling prices or anti-dilution fees <b>if current laws so provide.</b> The Fund management company must, however,</p>	Amending and supplementing Clauses 3 and 4, Article 21 of Circular 136/2025/TT-BTC effective from February 12, 2026

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>however, ensure that the Redemption fee does not exceed zero point five percent (0.5%) of transaction value (applicable to Authorized Participants) and one percent ( 1%) transaction value (applicable to Investors).</p> <p>18.4 The adjustments for the Creation fee and Redemption fee applicable to Authorized Participants are carried out in accordance with the agreement between the Fund management company and the Authorized Participants.</p> <p>18.5 Increasing the Creation fee and Redemption fee applicable to Investors can only be implemented when the Service price after the increase does not exceed 1% of transaction value. Any changes to the transaction service fees for fund certificates will only be applied sixty (60) days after the date the Prospectus, Summary Prospectus, and Fund Charter have been amended and supplemented to include the new service fee rates, the effective date, and the Fund Management Company has published the new service fee rates on its website.</p> <p>...</p>	<p>ensure that the Redemption fee does not exceed zero point five percent (0.5%) of transaction value (applicable to Authorized Participants) and one percent (1%) transaction value (applicable to Investors).</p> <p>18.4 The adjustments for the Creation fee and Redemption fee applicable to Authorized Participants are carried out in accordance with the agreement between the Fund management company and the Authorized Participants <b>but shall not exceed the maximum creation fee and maximum redemption fee stipulated in Clauses 2 and 3 of this Article.</b></p> <p>18.5 Increasing the Creation fee and Redemption fee applicable to Investors can only be implemented when the Service price after the increase does not exceed <b>the maximum service fee levels stipulated in Clauses 2 and 3 of this Article.</b><del>1% of transaction value. The earliest time for applying the increased new service fee levels is thirty (30) days from the date the Fund Management Company announces the new service fee rates on the websites of the Fund Management Company and the Distributors</del>Any changes to the transaction service fees for fund certificates will only be applied sixty (60) days after the date the Prospectus, Summary Prospectus, and Fund Charter have been amended and supplemented to include the new service fee rates, the effective date, and the Fund Management Company has published the new service fee rates on its website.</p>	

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
			...	
18.	Chapter 4- Article 20. General Meeting of Investors	<p>20.2 The annual General Meeting of Investors is held within four (04) months from the end of the fiscal year. At the request of the Board of Representatives, the Annual General Meeting of Investors can be extended but not more than six (06) months from the end of the fiscal year. The Annual General Meeting of Investors cannot be held in the form of collecting written opinions, unless:</p> <p>(a) The organization of annual meetings in the form of collecting written opinions is approved by the Board of Representatives; and</p> <p>(b) The content discussed and consulted by the General Meeting of Investors is not related to the following issues: (i) fundamental change in the investment policy or investment objectives of the Fund; (ii) change in the fee paid to the Fund management company or Supervisory Bank; (iii) change in Fund management company or Supervisory Bank; or (iv) merger, consolidation, division, split or dissolution of the Fund.</p> <p>...</p> <p>20.4 The organization of an extraordinary General Meeting of Investors as prescribed in Article 20.3 must be carried out within thirty (30) days from the date the</p>	<p>20.2 The annual General Meeting of Investors is held within four (04) months from the end of the fiscal year. At the request of the Board of Representatives, the Annual General Meeting of Investors can be extended but not more than six (06) months from the end of the fiscal year. <b>The Annual General Meeting of Investors may be organized in the form of collecting written opinions</b> <del>The Annual General Meeting of Investors cannot be held in the form of collecting written opinions, unless:</del></p> <p><del>(a) The organization of annual meetings in the form of collecting written opinions is approved by the Board of Representatives; and</del></p> <p><del>(b) The content discussed and consulted by the General Meeting of Investors is not related to the following issues: (i) fundamental change in the investment policy or investment objectives of the Fund; (ii) change in the fee paid to the Fund management company or Supervisory Bank; (iii) change in Fund management company or Supervisory Bank; or (iv) merger, consolidation, division, split or dissolution of the Fund.</del></p> <p>...</p> <p>20.4 The organization of an extraordinary General Meeting of Investors as prescribed in Article 20.3 must be carried out within thirty (30) days from the date the Fund management company receives the request to convene an extraordinary General Meeting of Investors. <del>At least twenty-one (21) days before the</del></p>	Adjusting the content to suit the provisions of legal documents

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		Fund management company receives the request to convene an extraordinary General Meeting of Investors. At least twenty-one (21) days before the General Meeting of Investors takes place, the Fund management company must publicly notify Investors on convening the General Meeting of Investors, clearly stating reasons and objectives of convening an extraordinary meeting of the General Meeting of Investors.	<del>General Meeting of Investors takes place, the Fund management company must publicly notify Investors on convening the General Meeting of Investors, clearly stating reasons and objectives of convening an extraordinary meeting of the General Meeting of Investors.</del>	
19.	Chapter 4- Article 21. Rights and Obligations of the General Meeting of Investors	<p>21.1 To decide on amendments and supplements to the Fund's Charter and the Fund's profit distribution plan;</p> <p>21.2 To approve basic changes in the Fund's investment policy or investment objectives; to change the service fees paid to the Fund management company or Supervisory Bank; to change the Fund management company or Supervisory Bank;</p> <p>21.3 To decide on the division, separation, merger, consolidation, and dissolution of the Fund;</p> <p>21.4 To decide on suspension of Fund Certificate trading;</p> <p>21.5 To decide the total number of Creation units allowed to be offered for sale, the plan to adjust the Fund's charter capital;</p>	<p>Article 21. Rights and obligations of the General Meeting of Investors</p> <p>The General Meeting of Investors has the following rights and obligations:</p> <p>21.1 To decide on amendments and supplements to the Fund's Charter and the Fund's profit distribution plan;</p> <p>21.2 To approve basic changes in the Fund's investment policy or investment objectives; to change the service fees paid to the Fund management company or Supervisory Bank; to change the Fund management company or Supervisory Bank;</p> <p>21.3 To decide on the division, separation, merger, consolidation, and dissolution of the Fund;</p> <p>21.4 <b>To decide the Fund's profit distribution plan</b> <del>To decide on suspension of Fund Certificate trading;</del></p>	Adjusting the content to suit the provisions of legal documents

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
			21.5 To decide the total number of Creation units allowed to be offered for sale, the plan to adjust the Fund's charter capital; ...	
20.	Chapter 4- Article 22. Conditions and Procedures for Conducting the General Meeting of Investors and Collecting Written Opinions of the General Meeting of Investors	<p>22.1 The expected time, program and content of the General Meeting of Investors must be publicly announced to Investors and reported to the SSC at least twenty-one (21) days before the meeting. The General Meeting of Investors does not discuss the issues other than the ones mentioned in the meeting agenda.</p> <p>22.2 Investors can attend the General Meeting of Investors directly or through an authorized representative or vote remotely (send mail, fax, email, attend online conferences, vote electronically or other electronic form).</p> <p>...</p> <p>22.5 Procedure and format of the General Meeting of Investors:</p> <p>(a) The General Meeting of Investors can be held in the form of a centralized or non-centralized meeting by collecting written opinions.</p> <p>...</p> <p>22.6 Rules, content, order and procedures for collecting written opinions from Investors:</p>	<p>22.1 The expected time, program and content of the General Meeting of Investors must be publicly announced to Investors <b>and reported to the SSC in accordance with the minimum notice period prescribed under the Law on Enterprises and/or other relevant legal documents, prior to the convening of the Meeting</b>at least twenty-one (21) days before the meeting. The General Meeting of Investors does not discuss the issues other than the ones mentioned in the meeting agenda.</p> <p>22.2 Investors <b>may attend and vote at the Meeting in person, authorize another person to attend and vote on their behalf, or exercise remote voting rights (by mail, fax, email, participation via online conference, electronic voting, or other electronic means), in accordance with applicable laws and regulations.</b><del>can attend the General Meeting of Investors directly or through an authorized representative or vote remotely (send mail, fax, email, attend online conferences, vote electronically or other electronic form).</del></p> <p>...</p> <p>22.5 Procedure and format of the General Meeting of Investors:</p> <p>(a) The General Meeting of Investors can be held in the form of a centralized, or non-centralized <del>meeting</del> by collecting written opinions, <b>or attendance and voting via online conference,</b></p>	Adjusting the content to suit the provisions of legal documents

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>(a) The Fund management company must prepare an opinion collection forms, draft resolutions of the General Meeting of Investors and explanatory documents for the draft resolutions. The opinion collection forms, along with the draft resolutions and explanatory documents, must be sent by a method guaranteed to reach the registered address for receiving information of each Investor, by electronic mail (email) or other electronic forms to the Investor's registered address.</p> <p>(b) An opinion collection form must contain the following main contents:</p> <p>(i) Name, number and date of the Fund establishment registration certificate; name, headquarters, number, and date of issuance of the Fund Management Company's establishment license;</p> <p>(ii) Purpose of obtaining opinions;</p> <p>(iii) Full name, address, nationality, ID card number, passport or other legal personal identification number of the Investor if they are an individual; name, address, nationality, establishment decision number or business registration number of the Investor and</p>	<p><b>electronic voting, or other electronic means (if any). In the event that the General Meeting of Investors is held simultaneously at multiple locations, the venue of the General Meeting of Investors shall be deemed to be the location at which the chairperson attends the Meeting ;</b></p> <p>...</p> <p>22.6 Rules, content, order and procedures for collecting written opinions from Investors:</p> <p><b>(a) The Fund Management Company shall have the right to solicit written opinions from Investors for the purpose of adopting resolutions of the General Meeting of Investors where it deems such solicitation necessary in the interests of the Investors, except for resolutions relating to matters specified in Articles 21.2 and 21.3 of the Fund Charter.</b></p> <p><del>(a)</del>(b) The Fund management company must prepare an opinion collection forms, draft resolutions of the General Meeting of Investors and explanatory documents for the draft resolutions. The opinion collection forms, along with the draft resolutions and explanatory documents, must be sent by a method guaranteed to reach the registered address for receiving information of each Investor, by electronic mail (email) or other electronic forms to the Investor's registered address.</p> <p><del>(b)</del>(c) An opinion collection form must contain the following main contents:</p>	

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>the authorized representative of the investor if they are an organization;</p> <p>(iv) Number of Fund Certificates and number of voting rights of the Investor;</p> <p>(v) Issues that require opinions for decision-making;</p> <p>(vi) Voting options include agree, disagree, abstain;</p> <p>(vii) Deadline to send the Fund management company the completed opinion collection forms;</p> <p>(viii) Full name and signature of the legal representative of the Fund management company; and</p> <p>(ix) The answered opinion collection forms must be signed by the Investor if they are an individual, or by the authorized representative or legal representative of the Investor if they are an organization.</p> <p>(c) The answered opinion collection forms is sent to the Fund management company in one of the following forms: mail, fax, email (attach a scanned copy of the opinion collection forms with the Investor's signature), electronic voting (e-voting) or other</p>	<p>(i) Name, number and date of the Fund establishment registration certificate; name, headquarters, number, and date of issuance of the Fund Management Company's establishment license;</p> <p>(ii) Purpose of obtaining opinions;</p> <p>(iii) Full name, <b>correspondence</b> address, nationality, <b>Personal Identification Number</b><del>ID-card number</del>, passport or other legal personal identification number of the Investor if they are an individual; name, address, nationality, establishment decision number or business registration number of the Investor and the authorized representative of the investor if they are an organization;</p> <p>(iv) Number of Fund Certificates and number of voting rights of the Investor;</p> <p>(v) Issues that require opinions for decision-making;</p> <p>(vi) Voting options include agree, disagree, abstain;</p> <p>(vii) Deadline to send the Fund management company the completed opinion collection forms;</p>	

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>electronic forms. Opinion collection forms sent after the specified deadline in the content of the form or opened in the case of mail or disclosed in other cases are invalid. Opinion collection forms that are not sent to the Fund management company are considered as non-participation in voting.</p> <p>(d) The vote counting minutes must contain the following main contents:</p> <p>(i) Name, number, and date of the issuance of the Fund establishment registration certificate;</p> <p>(ii) Name, headquarters, number, and date of issuance of the Fund management company establishment license;</p> <p>(iii) Purpose and issues required for opinions for decision-making;</p> <p>(iv) Number of Investors whose total number of votes participated in the voting, in which distinguishes between the number of valid votes and the number of invalid votes, accompanied by an appendix of the list of Investors participating in the voting;</p>	<p>(viii) Full name and signature of the legal representative of the Fund management company; and</p> <p>(ix) The answered opinion collection forms must be signed by the Investor if they are an individual, or by the authorized representative or legal representative of the Investor if they are an organization.</p> <p>(c)(d) The answered opinion collection forms is sent to the Fund management company in one of the following forms: mail, fax, email (attach a scanned copy of the opinion collection forms with the Investor's signature), <del>electronic voting (e-voting)</del> or other electronic forms. Opinion collection forms sent after the specified deadline in the content of the form or opened in the case of mail or disclosed in other cases are invalid. Opinion collection forms that are not sent to the Fund management company are considered as non-participation in voting.</p> <p>(d)(e) The vote counting minutes must contain the following main contents:</p> <p>(i) Name, number, and date of the issuance of the Fund establishment registration certificate;</p> <p>(ii) Name, headquarters, number, and date of issuance of the Fund management company establishment license;</p>	

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>(v) Total number of votes in agree, disagree, and abstentions for each issue; and</p> <p>(vi) The decisions that have been approved.</p> <p>(e) The ones who participate in vote counting must be jointly responsible for the truthfulness and accuracy of the vote counting minutes; jointly liable for damages arising from decisions adopted due to dishonest or inaccurate vote counting.</p> <p>(f) The answered opinion collection forms, the vote counting minutes, the full text of the approved resolution and relevant documents attached to the opinion collection forms must be kept at the headquarters of the Fund management company.</p> <p>(g) Decisions approved through the written opinion collection method have the same validity as decisions approved at the General Meeting of Investors.</p>	<p>(iii) Purpose and issues required for opinions for decision-making;</p> <p>(iv) Number of Investors whose total number of votes participated in the voting, in which distinguishes between the number of valid votes and the number of invalid votes, accompanied by an appendix of the list of Investors participating in the voting;</p> <p>(v) Total number of votes in agree, disagree, and abstentions for each issue; and</p> <p>(vi) The decisions that have been approved <b>and the corresponding approval voting ratios.</b></p> <p>(f) The ones who participate in vote counting must be jointly responsible for the truthfulness and accuracy of the vote counting minutes; jointly liable for damages arising from decisions adopted due to dishonest or inaccurate vote counting.</p> <p><b>(e)(g) The minutes of the vote counting results shall be disclosed on the information disclosure platforms of the Fund Management Company and the State SSC within twenty-four (24) hours from the completion of the vote counting.</b></p>	

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			<p>(f)(h) The answered opinion collection forms, the vote counting minutes, the full text of the approved resolution and relevant documents attached to the opinion collection forms must be kept at the headquarters of the Fund management company.</p> <p>(g)(i) Decisions approved through the written opinion collection method have the same validity as decisions approved at the General Meeting of Investors.</p>	
21.	Chapter 4- Article 23. Approval of the Decision of the General Meeting of Investors	<p>23.4 Decisions of the General Meeting of Investors at the meeting on the issues referred to as in Articles 21.2 and Article 21.3 will only be approved if more than sixty-five percent (65%) of the total voting rights of all attending investors are in favor.</p> <p>...</p> <p>23.6 The Fund management company and Supervisory Bank are responsible for reviewing and ensuring that all decisions of the General Meeting of Investors comply with the provisions of this Charter and the Law. In case the decisions of the General Meeting of Investors do not comply with the provisions of the Law and this Charter, a meeting of the General Meeting of Investors must be organized to re-collect opinions or collect written opinions from the Investors.</p>	<p>23.4 Decisions of the General Meeting of Investors at the meeting on the issues referred to as in Articles 21.2 and Article 21.3 <b>shall be approved by voting at the meeting of the General Meeting of Investors. In such case, the decisions shall</b> will only be approved if more than sixty-five percent (65%) of the total voting rights of all attending investors are in favor.</p> <p>23.5 In case of collecting written opinions from the General Meeting of Investors, decisions are approved if more than fifty percent (50%) of the total voting rights of all investors with voting rights are in favor, regardless of the issue being voted on.</p> <p>23.6 The Fund management company and <b>Board of Representatives</b> <del>Supervisory Bank</del> are responsible for reviewing and ensuring that all decisions of the General Meeting of Investors comply with the provisions of this Charter and the Law. In case the decisions of the General Meeting of Investors do not comply with the provisions of the Law and this Charter, a meeting of the General Meeting of Investors must be organized</p>	Adjusting the content to suit the provisions of legal documents

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
			to re-collect opinions or collect written opinions from the Investors.	
22.	Chapter 5- Article 24. Board of Representatives of the Fund	<p>24.1 The Board of Representatives representing the Investors is elected at the General Meeting of Investors or written opinions collected from the Investors. The Board of Representatives consists of three (03) members to eleven (11) members, of which at least two-thirds of the Board of Representatives members are independent members. They are not the affiliated persons of the Fund management company and Supervisory Bank or authorized representatives of these organizations. The term of the Board of Representatives is five (5) years, and its members can be reappointed without term limits. The outgoing Fund Representative Board continues to operate until the new Fund Representative Board is elected and takes over.</p> <p>...</p> <p>24.3 In case the Board of Representatives structure or any member no longer meets the conditions referred to as in Article 25.1 or a member is forced to resign, or the structure of Board of Representatives does not meet the provisions in Article 24.2, the Board of Representatives and the Fund management company, within fifteen (15) days after discovering the issue, are responsible for selecting a member who meets the regulations in Article 25.1 to temporarily</p>	<p>24.1 The Board of Representatives representing the Investors is elected at the General Meeting of Investors or written opinions collected from the Investors. The Board of Representatives consists of three (03) members to eleven (11) members, of which at least two-thirds of the Board of Representatives members are independent members. They are not the affiliated persons of the Fund management company, and Supervisory Bank or authorized representatives of these organizations. The term of the Board of Representatives <del>is</del> <b>shall not exceedis five (5) years</b>, and its members can be reappointed without term limits. The outgoing Fund Representative Board continues to operate until the new Fund Representative Board is elected and takes over.</p> <p>...</p> <p>24.3 In case the Board of Representatives structure or any member no longer meets the conditions referred to as in Article 25.1 or a member is forced to resign, or the structure of Board of Representatives does not meet the provisions in Article 24.2, the Board of Representatives <del>and the Fund management company,</del> within fifteen (15) days after discovering the issue, are responsible for selecting a member who meets the regulations in Article 25.1 to temporarily replace. The temporary replacement member fulfils the rights and obligations of a member of the Board of Representatives until the General Meeting of Investors officially appoints an alternate member.</p>	Adjusting the content to suit the provisions of legal documents

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		replace. The temporary replacement member fulfils the rights and obligations of a member of the Board of Representatives until the General Meeting of Investors officially appoints an alternate member. When there is a change in the structure of the Board of Representatives, the Fund management company must report to the SSC and disclose information as prescribed in the Law on information disclosure in the securities market.	When there is a change in the structure of the Board of Representatives, the Fund management company must report to the SSC and disclose information as prescribed in the Law on information disclosure in the securities market.	
23.	Chapter 5- Article 25. Criteria for selection of members of the Fund's Board of Representatives	25.3 Members of the Board of Representatives who are unable to complete their term may resign, provided that the resignation letter must be sent to the Chairman of the Board of Representatives and the Fund management company at least sixty (60) days in advance.	<del>25.3 Members of the Board of Representatives who are unable to complete their term may resign, provided that the resignation letter must be sent to the Chairman of the Board of Representatives and the Fund management company at least sixty (60) days in advance.</del>	Delete because the regulation is not required
24.	Chapter 5- Article 26. Rights and obligations of the Fund's Representative Board	26.1 The Board of Representatives has the following rights and obligations: ... (c) To approve transactions involving assets permitted for investment through negotiated methods (except for trade carried out on the trading system of the Stock Exchange);  (d) To decide on the profit distribution rate according to the profit distribution plan approved by the General Meeting of Investors; the timing, method, and form of profit distribution;	26.1 The Fund's Representative Board has the following rights and obligations: ... <b>(c) To approve transactions in investable assets conducted by way of negotiation, (except for deposit contracts, certificates of deposit, and transactions executed through the Stock Exchange trading system excluding privately placed corporate bonds). Prior to execution, the Board of Representatives shall give written approval specifying the expected price range, execution time, transaction counterparty or criteria for selecting the counterparty, and the type of asset transactions involving assets permitted for</b>	Adjusted according to the provisions of Clause 1, Article 8, Clause 1, Article 28 of Circular 136/2025/TT-BTC effective from February 12, 2026 and supplemented in accordance with the provisions of law

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>(e) In case authorized by the General Meeting of Investors, decide on the issues referred to as in Articles 21.2 to 21.8 herein. In this case, the Fund management company must fulfil its obligation to disclose information about the Decision of the Board of Representatives in accordance with the regulations on information disclosure regarding the Decision of the General Meeting of Investors;</p> <p>(f) To decide on issues that have not been agreed upon between the fund management company and the supervising bank based on legal regulations;</p> <p>(g) To request the Fund management company and Supervisory Bank to promptly provide all documents and information regarding fund management and supervision activities; and</p> <p>(h) To perform other duties as prescribed by the law and the provisions of the Fund Charter.</p> <p>26.2 Within 24 hours from the date the Board of Representatives decides on the issues referred to as in Articles 21.2 to 21.3 above, the Board of Representatives, via the Fund management company, must send to the SSC and the Supervisory Bank the meeting minutes and resolutions of the Board of Representatives, and simultaneously</p>	<p><del>investment through negotiated methods (except for trade carried out on the trading system of the Stock Exchange);</del></p> <p><b>For deposit contracts and certificates of deposit, the Board of Representatives' written approval shall specify the expected price range, execution period, transaction counterparty or selection criteria, and the type of asset. Such transactions must be executed within three (03) months from the approval date.</b></p> <p><b>If the actual purchase price is higher than, or the actual selling price is lower than, the reference price or the approved price range, the Fund Management Company shall provide explanations for the Board of Representatives' consideration and decision.</b></p> <p>(d) To decide on the profit distribution rate according to the profit distribution plan approved by the General Meeting of Investors; the timing, method, and form of profit distribution;</p> <p><del>(e) In case authorized by the General Meeting of Investors, decide on the issues referred to as in Articles 21.2 to 21.8 herein. In this case, the Fund management company must fulfil its obligation to disclose information about the Decision of the Board of Representatives in accordance with the regulations on information disclosure regarding the Decision of the General Meeting of Investors;</del></p>	

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		provide information about the decision content to Investors in the manner prescribed herein.	<p>(f)(e) To decide on issues that have not been agreed upon between the fund management company and the supervising bank based on legal regulations;</p> <p>(g)(f) To request the Fund management company and Supervisory Bank to promptly provide all documents and information regarding fund management and supervision activities; and</p> <p>(h)(g) To perform other duties as prescribed by the law and the provisions of the Fund Charter.</p> <p><del>26.2 Within 24 hours from the date the Board of Representatives decides on the issues referred to as in Articles 21.2 to 21.3 above, the Board of Representatives, via the Fund management company, must send to the SSC and the Supervisory Bank the meeting minutes and resolutions of the Board of Representatives, and simultaneously provide information about the decision content to Investors in the manner prescribed herein.</del></p> <p>...</p> <p><b>26.3 The Board of Representatives shall comply with applicable laws on information disclosure for insiders and their related persons of the public fund.</b></p>	
25.	Chapter 5- Article 30. Meetings of Board of Representatives Meeting and Approval of	30.2 A meeting of the Board of Representatives is conducted when two-thirds or more of the total number of members attend the meeting, of which the number of independent members attending the	30.2 A meeting of the Board of Representatives is conducted when two-thirds or more of the total number of members attend the meeting, of which the number of independent members attending the meeting <b>shall account for more than fifty</b>	

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
	Decisions by the Board of Representatives	<p>meeting must account for fifty-one percent (51%) or more.</p> <p>30.5 The decision of the Board of Representatives is approved if it is approved by fifty-one percent (51%) or more of the attending members and fifty-one percent (51%) or more of the independent members at the direct meeting or through written opinions. In case of a tie, the final decision belongs to the side with the opinion of the Chairman of the Board of Representative.</p>	<p><b>percent (50%).</b> must account for <del>fifty one percent (51%)</del> or more.</p> <p>30.5 Resolutions of the Board of Representatives shall be adopted by a simple majority. In all cases, a resolution shall be adopted only if it is approved by more than fifty percent (50%) of the independent members present at the meeting <del>The decision of the Board of Representatives is approved if it is approved by fifty one percent (51%) or more of the attending members and fifty one percent (51%) or more of the independent members at the direct meeting or through written opinions. In case of a tie, the final decision belongs to the side with the opinion of the Chairman of the Board of Representative.</del></p>	
26.	Chapter 5- Article 31. Minutes of the Board of Representatives Meeting	<p>Meetings of the Board of Representatives must be fully, detailed, and clearly recorded in minutes. The Secretary and members of the Board of Representatives participating in the meeting must sign the meeting minutes. The meeting minutes of the Board of Representatives must be stored at the Fund management company in accordance with the provisions of the Law on Enterprise and the fund charter. The chairperson and secretary must be jointly responsible for the accuracy and truthfulness of the meeting minutes of the Board of Representatives.</p>	<p>Meetings of the Board of Representatives must be fully, detailed, and clearly recorded in minutes. The Secretary and members of the Board of Representatives participating in the meeting must sign the meeting minutes. <b>In the event that the chairperson or the secretary refuses to sign the minutes, such minutes shall remain valid provided that they are signed by all other members of the Board of Representatives attending the meeting and contain full and complete contents.</b> The meeting minutes of the Board of Representatives must be stored at the Fund management company in accordance with the provisions of the Law on Enterprise and the fund charter. The chairperson and secretary must be jointly responsible for the accuracy and truthfulness of the meeting minutes of the Board of Representatives.</p>	<p>Supplementing to conform with the content of legal documents</p>

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
27.	Chapter 6- Article 33. Rights and Obligations of the Fund management company	<p>33.2 The Fund management company has the following rights and authorities:</p> <p>...</p> <p>(b) To authorize the Supervisory Bank and certain organizations providing services related to fund management activities to perform some or all of the fund administration activities. The Fund management company must be responsible for authorization, and ensure that the authorization relationship and responsibilities in fund administration activities of these organizations do not adversely affect the interests of Investors;</p> <p>...</p> <p>(m) To be able to use the Fund's assets to invest in securities issued by foreign organizations, issuers governed by foreign laws, securities issued abroad and other assets in foreign countries. This investment must, however, comply with the Law on overseas investment, Law on foreign exchange and related regulations. Prior to implementation, the Fund management company must receive written approval from the Board of Representatives or the General Meeting of Investors and written consent from the SSC and the other relevant state appropriate authority.</p>	<p>33.2 The Fund Management Company has the following rights and powers:</p> <p>...</p> <p>(b) To authorize the Supervisory Bank <b>and Vietnam Securities Depository and Clearing Corporation</b> <del>certain organizations providing services related to fund management activities to perform some or all of the fund administration activities, and</del> <b>transfer agent activities for the Fund</b>. The Fund management company must be responsible for authorization, and ensure that the authorization relationship and responsibilities in fund administration activities of these organizations do not adversely affect the interests of Investors;</p> <p>...</p> <p><del>To be able to use the Fund's assets to invest in securities issued by foreign organizations, issuers governed by foreign laws, securities issued abroad and other assets in foreign countries. This investment must, however, comply with the Law on overseas investment, Law on foreign exchange and related regulations. Prior to implementation, the Fund management company must receive written approval from the Board of Representatives or the General Meeting of Investors and written consent from the SSC and the other relevant state appropriate authority.</del></p>	Adjustments and supplements to clarify

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
28.	Chapter 6- Article 37. Rights and Obligations of the Supervisory Bank	<p>37.1 The Supervisory Bank has the following obligations and responsibilities:</p> <p>(a) To always act in the best interest of the fund investors.</p> <p>(b) To take responsibility for losses causing to the Fund due to the Supervisory Bank's faults;</p> <p>(c) To ensure to supervise the Fund management company's activities in managing the fund's assets as prescribed at the Law on Securities, relevant regulations and the Fund Charter;</p> <p>(d) To provide supervisory and depository services of the Fund's assets according to applicable laws, the Supervisory and Custodian Contract and the Fund Charter;</p> <p>(e) To ensure the separation of the Fund's assets from the assets of the Fund management company, assets of other funds, assets of other customers of the Supervisory Bank and the assets of the Supervisory Bank;</p>	<p>37.1 The Supervisory Bank has the following obligations and responsibilities:</p> <p>(a) To always act in the best interest of the fund investors.</p> <p>(b) To take responsibility for losses causing to the Fund due to the Supervisory Bank's errors, <b>in accordance with applicable laws and the Supervisory Agreement;</b></p> <p>(c) To ensure to supervise the Fund management company's activities in managing the fund's assets as prescribed at the Law on Securities, relevant regulations and the Fund Charter;</p> <p>(d) To provide supervisory and depository services of the Fund's assets according to applicable laws, the Supervisory and Custodian Contract and the Fund Charter;</p> <p>(e) To ensure the separation of the Fund's assets from the assets of the Fund management company, assets of other funds, assets of other customers of the Supervisory Bank and the assets of the Supervisory Bank;</p> <p>(f) To ensure and take full responsibility for the Fund's assets in case of authorizing sub-custodian organizations in accordance with the service agreement and applicable laws;; and</p>	Adjustment in accordance with the provisions of law

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>(f) To ensure and take full responsibility for the Fund's assets in case of authorizing sub-custodian organizations; and</p> <p>(g) To supervise or calculate the Fund's Net Asset Value on daily basis according to the legal regulations and the Fund Charter to ensure the accuracy of the calculation of the Fund's Net Asset Value;</p> <p>(h) To handle securities transactions in compliance with legal instructions of the Fund management company, the Supervisory Bank may refuse those instructions if the Supervisory Bank believes that those instructions are illegal or inconsistent with the Fund Charter. The refusal specifying reasons must be sent in writing to the Fund management company, its copy must be sent to the SSC;</p> <p>(i) To regularly reconcile the Fund's assets with the Fund management company;</p> <p>(j) To pay reasonable and legitimate expenses of the Fund in accordance with the lawful instructions of the fund management company, ensuring that such expenses comply with applicable laws and the provisions of the Fund Charter;</p> <p>(k) To make payments to the Fund's investors when the fund management company conducts the redemption of fund certificates from investors, when</p>	<p>(g) To supervise <del>the determination of their</del> <del>calculate the</del> <del>Fund's Net Asset Value and to review and ensure that the Net Asset Value per fund certificate and the Net Asset Value per creation unit are properly and accurately calculated in accordance with applicable laws and the provisions of the Fund Charter</del> <del>on daily basis according to the legal regulations and the Fund Charter to ensure the accuracy of the calculation of the Fund's Net Asset Value;</del></p> <p>(h) To handle securities transactions in compliance with legal instructions of the Fund management company, the Supervisory Bank may refuse those instructions if the Supervisory Bank believes that those instructions are illegal or inconsistent with the Fund Charter. The refusal specifying reasons must be sent in writing to the Fund management company, its copy must be sent to the SSC;</p> <p>(i) To regularly reconcile the Fund's assets with the Fund management company;</p> <p>(j) To pay reasonable and legitimate expenses of the Fund in accordance with the lawful instructions of the fund management company, ensuring that such expenses comply with applicable laws and the provisions of the Fund Charter;</p> <p>(k) To make payments to the Fund's investors when the fund management company conducts the redemption of fund certificates from investors, when the Fund distributes income, or when the Fund is liquidated or dissolved and makes payments to investors, as well as in other cases as prescribed by law and</p>	

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>the Fund distributes income, or when the Fund is liquidated or dissolved and makes payments to investors, as well as in other cases as prescribed by law and the Fund Charter, in accordance with the lawful instructions of the fund management company, ensuring that such payments comply with the provisions of the Fund Charter;</p> <p>(l) Keep a separate log of changes in the total number of issued certificates, number of fund certificates owned by each investor, name, contact address, nationality, address and update all changes if any.</p> <p>37.2 The Supervisory Bank reserves the following rights:</p> <p>(a) To reserve the right to review the Fund management company's capacity and procedures during the Fund management process and request the Fund management company to make corrections if it is necessary;</p> <p>(b) To reserve the right to attend the General Meeting of Investors but do not reserve the right to vote; and</p> <p>(c) To reserve the right to receive service fee for providing supervisory and custody services in accordance with the Supervisory and Custody contracts signed between the Fund management</p>	<p>the Fund Charter, in accordance with the lawful instructions of the fund management company, ensuring that such payments comply with the provisions of the Fund Charter;</p> <p><del>(k) Keep a separate log of changes in the total number of issued certificates, number of fund certificates owned by each investor, name, contact address, correspondence address, nationality, address and update all changes if any.</del></p> <p>37.2 The Custodian Bank has the following rights:</p> <p><del>(a) To reserve the right to review the Fund management company's capacity and procedures during the Fund management process and request the Fund management company to make corrections if it is necessary;</del></p> <p><del>(b)</del>(a) To reserve the right to attend the General Meeting of Investors but do not reserve the right to vote; and</p> <p><del>(e)</del>(b) To reserve the right to receive service fee for providing supervisory and custody services in accordance with the Supervisory and Custody contracts signed between the Fund management company (on behalf of the Fund) and the Supervisory Bank, the provisions of the Charter and Law</p>	

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		company (on behalf of the Fund) and the Supervisory Bank, the provisions of the Charter and Law.		
29.	Chapter 9- Article 46. Rights and responsibilities of members setting up the fund	<p>46.2 Responsibilities of Authorized Participants</p> <p>(a) To receive the exchange trade orders (directly from Investors or indirectly through Distributors) and transfer the exchange trade orders from each Investor to the Fund management company and VSDC in a timely and accurate manner, exactly in accordance with the Exchange trade process referred to as in the Prospectus.</p>	<p>46.2 Responsibilities of Authorized Participants</p> <p>(a) To receive the exchange trade orders (directly from Investors or indirectly through Distributors) and transfer the exchange trade orders from each Investor to the Fund management company and VSDC in a timely and accurate manner, exactly in accordance with the Exchange trade process referred to as in the Prospectus <b>(applicable only to Authorized Participants that are securities companies).</b></p>	Supplements for clarification
30.	Chapter 10- Article 48. Conditions for selecting a distribution agent for fund certificates	<p>48.1 Conditions for selecting Distributors:</p> <p>(a) Being a securities company with securities brokerage business, which has signed contract to distribute Fund Certificate with the Fund management company and Authorized Participants;</p> <p>(b) At the time of registration, there is at least one (01) business location selected as the Fund Certificate distribution location that satisfies all requirements of Fund Certificate distribution locations; and</p> <p>(c) To establish and maintain professional procedures for the distribution of Fund Certificates, including processes and procedures for identifying and updating information on Investors and their</p>	<p>48.1 Conditions for selecting Distributors:</p> <p>(a) Being a securities company with securities brokerage business, which has signed contract to distribute Fund Certificate with the Fund management company and Authorized Participants;</p> <p>(b) At the time of registration, there is at least one (01) business location selected as the Fund Certificate distribution location that satisfies all requirements of Fund Certificate distribution locations; and</p> <p>(c) To establish and maintain professional procedures for the distribution of Fund Certificates, including processes and procedures for identifying and updating information on Investors and their beneficiaries; measures for verifying such information;</p>	Adjusted according to the provisions of Clause 3, Article 24 of Circular 136/2025/TT-BTC effective from 12/02/2026

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>beneficiaries; measures for verifying such information; a code of professional ethics applicable to staff distributing Fund Certificates; and internal regulations designed to prevent late trading after the order-receiving cut-off time, prevent speculative trading, prevent arbitrage exploiting time-zone differences, and prevent the misuse of pricing errors in Fund Certificates in accordance with international practices.</p> <p>48.2 The Fund management company is responsible for assessing facilities before selecting a Distributor and distribution location to provide services to Investors. The assessment report on the facilities of the Distributor and distribution locations shall be kept at the headquarters of the Fund management company and provided to the competent state regulatory authority upon request. The Fund management company must regularly inspect and the activities of the Distributor to ensure compliance with the provisions of the Law and the terms of the distribution agreement.</p>	<p>a code of professional ethics applicable to staff distributing Fund Certificates; and internal regulations designed to prevent late trading after the order-receiving cut-off time, prevent speculative trading, prevent arbitrage exploiting time-zone differences, and prevent the misuse of pricing errors in Fund Certificates in accordance with international practices.</p> <p><b>48.2 The Fund Management Company shall be responsible for assessing the facilities prior to selecting Distributors and distribution locations for the provision of services to Investors. Where a Distributor distributes fund certificates via electronic platforms, the facilities assessment report shall fully specify the programs, applications, and websites used for such distribution and shall include an assessment of whether such Distributors satisfy the requirements set out in Clause 11, Article 50 of this Charter and applicable laws. The facilities assessment report of the Distributor and the distribution location shall be kept at the head office of the Fund Management Company and shall be provided to the competent State regulatory authorities upon request.</b><del>The Fund management company is responsible for assessing facilities before selecting a Distributor and distribution location to provide services to Investors. The assessment report on the facilities of the Distributor and distribution locations shall be kept at the headquarters of the Fund management company and provided to the competent state regulatory authority upon request. The Fund management company must regularly inspect and the activities of the Distributor to ensure compliance with the</del></p>	

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
			<p>provisions of the Law and the terms of the distribution agreement.</p> <p><b>The Fund Certificate Distribution Agreement shall, at a minimum, include provisions on the scope of distribution activities and the rights and obligations of the parties to the agreement, including the Distributor's obligation to comply with applicable laws and regulations. The Fund Management Company shall regularly supervise the Distributor and shall be responsible for ensuring that the Distributor's distribution activities are conducted in accordance with the terms and conditions of the Distribution Agreement.</b></p>	
31.	Chapter 10- Article 49. Operation of Distributors	<p>...</p> <p>(f) To consolidate and store detailed information on Investors and Investors' transactions and to provide such data to the Fund management company, related service providers and the SSC upon the request of these organizations.</p>	<p>...</p> <p>(f) To consolidate and store detailed information on Investors and Investors' transactions <del>in accordance with the law on enterprises</del> and to provide such data to the Fund management company, related service providers and the SSC upon the request of these organizations.</p>	Adjust accordingly
32.	Chapter 10- Article 50. General Regulations on Fund Certificate Distribution	<p>...</p> <p>50.6 Distributors must not discount or reduce trading rate of Fund Certificates in any form; must not give gifts or use material or financial benefits in any form to invite or entice Investors to buy Fund Certificates; must not request, demand or receive in the name of an individual or organization from the Fund management company any remuneration, profit or</p>	<p>...</p> <p><del>50.6 Distributors must not discount or reduce trading rate of Fund Certificates in any form; must not give gifts or use material or financial benefits in any form to invite or entice Investors to buy Fund Certificates; must not request, demand or receive in the name of an individual or organization from the Fund management company any remuneration, profit or benefit to entice or incite Investors to buy Fund Certificates, other than the</del></p>	Adjustments according to the provisions of Clause 1 and 2, Article 26 of Circular 136/2025/TT-BTC effective from 12/02/2026

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>benefit to entice or incite Investors to buy Fund Certificates, other than the fees announced in the Prospectus and in the distribution agent contracts signed with the Fund management company.</p> <p>50.7 Distributors must not distribute Fund Certificates at business locations that are not registered or licensed to operate as prescribed by the law or have not notified the SSC. Distributors are fully responsible for the operations of Fund distribution locations and Fund Distribution staff when distributing Fund Certificates to Investors.</p> <p>50.8 The Fund management company and the Distributor must annually organize training courses to improve the qualifications and knowledge of the Fund distribution staff. Information on the training activities during the year of the Fund management company and the Distributor must be included in the annual operation report of the Fund management company.</p>	<p><del>fees announced in the Prospectus and in the distribution agent contracts signed with the Fund management company.</del> <b>The Distributor shall not grant any discounts or transaction fee reductions for Fund Certificates in any form, and shall not use financial incentives to solicit or induce Investors to purchase Fund Certificates. The Distributor may only provide non-cash gifts, with a maximum value of no more than VND one million (VND 1,000,000) per Investor for each promotional program. The Distributor shall not request, demand, or receive, whether in its own name or on behalf of any organization, from the Fund Management Company any remuneration, profits, or other benefits for the purpose of soliciting Investors to purchase Fund Certificates, other than the fees disclosed in the Prospectus and specified in the distribution agent agreements entered into with the Fund Management Company.</b></p> <p><del>50.7 The Distribution Agent shall be fully responsible for the operation of the Fund Certificate distribution locations. staff distributing Fund Certificates when distributing Fund Certificates to Investors.</del> <b>The Distribution Agent shall not distribute the Fund Certificates at business locations that have not been registered or licensed to operate in accordance with the provisions of law or have not notified the SSC. The Distribution Agent shall be fully responsible for the operation of the Fund Certificate distribution locations. staff distributing Fund Certificates when distributing Fund Certificates to Investors. Distribution agents are not allowed to distribute fund certificates at distribution locations and in the online environment through programs, applications, and websites without notifying the SSC.</b></p>	

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
			<p><b>50.8</b> The Fund management company and the Distributor must annually organize training courses to improve the qualifications and knowledge of the Fund distribution staff. Information on the training activities during the year of the Fund management company and the Distributor must be included in the annual operation report of the Fund management company.</p> <p><b>50.9</b> The Distributor shall bear full responsibility for the operations of its fund certificate distribution locations, programs, applications, websites, and fund certificate distribution staff when distributing Fund Certificates to Investors in accordance with applicable laws.</p> <p><b>50.10</b> The means by which the Distributor distributes Fund Certificates to Investors via electronic platforms shall include programs, applications, and websites.</p> <p><b>46.1</b> When distributing Fund Certificates to Investors via electronic platforms, the Distributor shall ensure that:</p> <p>(a) it complies with regulations on electronic transactions in the securities market;</p> <p>(b) it has in place measures, methods, and technologies to ensure information security and compliance with technical standards for information provision on electronic platforms, in accordance with the Law on Electronic Transactions, the Law on Cyber Information Security, the Law on Securities, the Law on Anti-Money Laundering, and other relevant laws and regulations; and ensures that its systems operate continuously and smoothly;</p>	

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
			<p>(c) To store and maintain audit trails of transactions, changes related to transactions, and customer information, ensuring the integrity of the system and that it is free from unauthorized interference;</p> <p>(d) To issue and update operational procedures for Fund distribution via electronic platforms, including procedures for information verification, investor identification, distribution of Fund Certificates, prevention of late trading by Investors, and a code of professional ethics applicable to fund certificate distribution staff;</p> <p>(e) To ensure that Investors open trading accounts and place orders directly through the Distributor's own programs, applications, or websites;</p> <p>(f) To comply with the distribution activities prescribed in Article 49.1 of this Charter and Clauses 5 and 6 of this Article;</p> <p>(g) The interface of the programs, applications, and websites shall be designed to ensure that the information prescribed in Clause 2 of this Article is provided to Investors. All information and documents provided to Investors via such programs, applications, and websites shall comply with the provisions of Clauses 1, 3, and 4 of this Article;</p> <p>(h) To ensure that Investors confirm that they have read and understood the Fund Charter, the Prospectus, and other documents related to the Fund's operations, and that Investors are duly informed of any amendments or</p>	

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
			<p>supplements to the Fund Charter and the Prospectus; to ensure that Investors confirm that they have read and agreed to the terms and conditions applicable to electronic transactions and are aware of the risks that may arise when conducting transactions via electronic platforms at the time Investors open fund certificate trading accounts;</p> <p>(i) The Fund Management Company shall update the Prospectus and publish on its website the list of Distributors and the programs, applications, and websites (if any) of such Distributors, and shall do so upon any change to the list of Distributors or to the programs, applications, or websites (if any) of the Distributors.</p>	
33.	Chapter 11- Article 51. Criteria for Selecting and Changing Auditing Firms	Annually, the Fund management company will propose at least two (02) reputable and experienced auditing firms in the list of auditing firms approved by the SSC for the Board of Representatives to consider and select under the authorization from the General Meeting of Investors. The selected auditing company shall satisfy the following conditions:	Annually, <del>the</del> Fund management company shall propose at least two (02) reputable and experienced auditing firms in the list of auditing firms approved by the SSC for the Board of Representatives to consider and select under the authorization from the General Meeting of Investors <b>submission to the General Meeting of Investors for consideration and selection.</b> The selected auditing company shall satisfy the following conditions:	Adjustment according to the provisions of Clause 1, Article 28 of Circular 136/2025/TT-BTC effective from 12/02/2026
34.	Chapter 12- Article 56. Determination of the Fund's Net Asset Value	... 56.4 The Fund's Net Asset Value, the Net Asset Value per a creation unit and the Net Asset Value per Fund Certificate must be verified by the Supervisory Bank in accordance with the method specified in Article 58.2 of this Charter. Immediately after the verification by the Supervisory Bank, the above net asset values	... 56.4 The Fund's Net Asset Value, the Net Asset Value per a creation unit and the Net Asset Value per Fund Certificate must be verified by the Supervisory Bank in accordance with the method specified in Article 58.2 of this Charter. Immediately after the verification by the Supervisory Bank, the above net asset values must be disclosed on the websites of the Fund	Adjusted according to the provisions of Article 7 of Circular 136/2025/TT-BTC effective from 12/02/2026

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>must be disclosed on the websites of the Fund management company, HOSE, Distributors and Authorized Participants in accordance with regulations on information disclosure in the securities market. The disclosure of Net Asset Value to Investors must be carried out on the same trading day or no later than the next trading day.</p> <p>56.5 The Fund Management Company shall be responsible for determining, or authorizing the Stock Exchange to determine and disclose, the Indicative Net Asset Value (iNAV) per Fund Certificate based on the market prices of the Component Securities derived from the most recent executed transactions. The Indicative Net Asset Value (iNAV) per Fund Certificate is for reference purposes only and shall not be used as the basis for determining the trading price. The Indicative Net Asset Value (iNAV) per Fund Certificate shall be updated at least every fifteen (15) seconds and shall be published on the Fund Management Company's websites or on the HOSE system.....</p>	<p>management company, HOSE, Distributors and Authorized Participants in accordance with regulations on information disclosure in the securities market. The disclosure of Net Asset Value to Investors <b>shall be made no later than the next business day following the valuation date</b>. <del>must be carried out on the same trading day or no later than the next trading day.</del></p> <p>56.5 The Fund Management Company, <b>or a service provider for the calculation of the indicative net asset value duly authorized by the Fund Management Company</b> shall be responsible for determining, or authorizing the Stock Exchange to determine and disclose, the Indicative Net Asset Value (iNAV) per Fund Certificate based on the market prices of the Component Securities derived from the most recent executed transactions. The Indicative Net Asset Value (iNAV) per Fund Certificate is for reference purposes only and shall not be used as the basis for determining the trading price. The Indicative Net Asset Value (iNAV) per Fund Certificate shall be updated at least every fifteen (15) seconds and shall be published on the Fund Management Company's websites or on the HOSE system.</p>	
35.	Chapter 12- Article 57. Methods of determining the Fund's Net Asset Value	57.1 The Fund's Net Asset Value is determined by the total market value of the assets in the portfolio less the total liabilities of the Fund, including debts and payment obligations of the Fund as of the most recent day preceding the Valuation Day. In cases where no	57.1 The Fund's Net Asset Value is determined by the total market value of the assets in the portfolio less the total liabilities of the Fund, including debts and payment obligations of the Fund as of the most recent day preceding the Valuation Day. In cases where no market price is available on the most recent trading	Supplementing to suit the actual operation of the Fund

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>market price is available on the most recent trading day, or where market prices fluctuate significantly pursuant to the internal regulations of the Fund Management Company, the Fund Management Company shall apply the fair value, determined in accordance with the principles, methods, or theoretical valuation models specified in the Fund's valuation handbook, which is developed by the Fund Management Company in accordance with the Law and has been validated by the Supervisory Bank.</p> <p>57.2 The Net Asset Value per Fund Certificate is equal to the Fund's Net Asset Value divided by the total number of Outstanding Fund Certificates on the most recent trading day preceding the Valuation Date. The Net Asset Value per Fund Certificate is rounded to two (02) decimal places.</p> <p>57.3 The Valuation Day is the day on which the Fund's Net Asset Value is determined, including: (i) all Exchange Trading Days; and (ii) the days on which the Fund's Net Asset Value is determined for reporting purpose (weekly, monthly, quarterly, and annually) as required by Law or other purposes determined by the Fund management company. If the Valuation Day falls on a weekend or public holiday, the Valuation Day shall be the immediately following Business Day.</p>	<p>day, or where market prices fluctuate significantly pursuant to the internal regulations of the Fund Management Company, the Fund Management Company shall apply the fair value, determined in accordance with the principles, methods, or theoretical valuation models specified in the Fund's valuation handbook, which is developed by the Fund Management Company in accordance with the Law and <b>provided to the Supervisory Bank for the purpose of supervising the calculation of the Net Asset Value.</b><del>has been validated by the Supervisory Bank.</del></p> <p>57.2 The Net Asset Value per Fund Certificate is equal to the Fund's Net Asset Value divided by the total number of Outstanding Fund Certificates on the most recent trading day preceding the Valuation Date. The Net Asset Value per Fund Certificate is rounded <b>down</b> to two (02) decimal places.</p> <p>57.3 The Valuation Day is the day on which the Fund's Net Asset Value is determined, including: (i) all Exchange Trading Days; and (ii) the days on which the Fund's Net Asset Value is determined for reporting purpose (weekly, monthly, quarterly, and annually) as required by Law or other purposes determined by the Fund management company. If the Valuation Day falls on a weekend, or public holiday, <b>or a compensatory working day as determined by a competent State authority on which the Stock Exchange does not conduct securities trading</b>, the Valuation Day shall be the immediately following Business Day.</p>	

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		57.4 The Fund's valuation policy shall be specified in Appendix 4 and detailed in the valuation handbook developed by the Fund Management Company in accordance with this Charter and relevant regulations, and shall be approved by the Representative Board and validated by the Supervisory Bank.	57.4 The Fund's valuation policy shall be specified in Appendix 4 and detailed in the valuation handbook developed by the Fund Management Company in accordance with this Charter and relevant regulations, and shall be approved by the Representative Board and <b>provided to the Supervisory Bank for the purpose of supervising the calculation of the Net Asset Value.</b> <del>validated by the Supervisory Bank.</del>	
36.	Chapter 13- Article 61. Fund Profit	61.2 Profit may be distributed in the form of cash or Fund Certificates. The distribution of profits in the form of Fund Certificates must be approved in advance by the General Meeting of Investors, or by the Representative Board if the most recent General Meeting of Investors has authorized the Representative Board to make such decisions in accordance with this Charter.  ...  61.8 For the benefit of Investors, the Board of Representatives may decide not to distribute the Fund's profits to Investors. The Board of Representatives will be responsible for explaining to the General Meeting of Investors about decisions related to the Fund's profit policy.	61.2 Profit may be distributed in the form of cash or Fund Certificates. The distribution of profits in the form of Fund Certificates must be approved in advance by the General Meeting of Investors <b>and stipulated in the Fund Charter and Prospectus,</b> <del>or by the Representative Board if the most recent General Meeting of Investors has authorized the Representative Board to make such decisions in accordance with this Charter.</del>  ...  <del>61.8 For the benefit of Investors, the Board of Representatives may decide not to distribute the Fund's profits to Investors. The Board of Representatives will be responsible for explaining to the General Meeting of Investors about decisions related to the Fund's profit policy.</del>	Adjustment in accordance with legal regulations
37.	Chapter 13- Article 62. Fund Operating Expenses	62.7 The Benchmark Index management and operation service fee is zero point zero two percent (0.02%) per annum of the Fund's total daily Net Asset Value, with a minimum amount of fifty million	<b>62.7 The Benchmark Index management and operation service fee shall be detailed in the Prospectus, with a minimum amount as stipulated in the agreement between the Fund Management Company and the organization</b>	Brief adjustment in accordance with the Fund's activities

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>(50,000,000) VND per (01) year. This fee is calculated and accrued on a daily basis and shall be paid to HOSE every six (06) months. The above fee does not include value-added tax.</p> <p>62.8 The service fee for calculating the Indicative net asset value (iNAV) per Fund Certificate is zero point zero two percent (0.02%) per year calculated on the daily Net Asset Value of the Fund, with a minimum amount of fifty million (50,000,000) VND per (01) year. The service fee for calculating iNAV is calculated and accrued on a daily basis and shall be paid every 6 (six) months to HOSE. The above service fee does not include value added tax.</p> <p>...</p> <p>62.14 The disclosure of information on operating expense ratio shall be determined within forty-five (45) days from the end of the second and fourth quarters each year.</p>	<p><b>responsible for the management and operation of the Benchmark Index. This fee is calculated and accrued on a daily basis. The above fee does not include value-added tax (if any).</b><del>The Benchmark Index management and operation service fee is zero point zero two percent (0.02%) per annum of the Fund's total daily Net Asset Value, with a minimum amount of fifty million (50,000,000) VND per (01) year. This fee is calculated and accrued on a daily basis and shall be paid to HOSE every six (06) months. The above fee does not include value-added tax.</del></p> <p><b>62.8 The service fee for calculating the Indicative Net Asset Value (iNAV) per Fund Certificate shall be detailed in the Prospectus, with a minimum amount as stipulated in the agreement between the Fund Management Company and the organization responsible for the management and operation of the Benchmark Index. The service fee for calculating iNAV is calculated and accrued on a daily basis. The above service fee does not include value-added tax (if any).</b><del>The service fee for calculating the Indicative net asset value (iNAV) per Fund Certificate is zero point zero two percent (0.02%) per year calculated on the daily Net Asset Value of the Fund, with a minimum amount of fifty million (50,000,000) VND per (01) year. The service fee for calculating iNAV is calculated and accrued on a daily basis and shall be paid every 6 (six) months to HOSE. The above service fee does not include value added tax.</del></p>	

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
			<p><del>62.14 The disclosure of information on operating expense ratio shall be determined within forty five (45) days from the end of the second and fourth quarters each year.</del></p>	
38.	<p>Chapter 16- Article 67. Registration of the Fund Charter</p> <p>Article 68. Execution Provisions</p>	<p>Article 67. Registration of the Fund Charter</p> <p>67.1 This Fund Charter consists of 16 Chapters, 68 Articles and 04 Appendices approved by the Fund's General Meeting of Investors and takes effect from May 10, 2024.</p> <p>67.2 Extracts or copies of this Charter issued by the Fund shall only be valid when bearing the signature of the Chairman of the Representative Board or the legal representative of the Fund Management Company.</p> <p>67.3 This Charter is made in seven (07) originals of equal validity in Vietnamese:</p> <p>(a) Two (02) originals registered at the state agencies as prescribed by the law;</p> <p>(b) Four (04) originals are kept at the office of the Fund management company; and</p> <p>(c) One (01) original is kept at the office of the Supervisory Bank.</p> <p>Article 68. Execution provision</p>	<p>Article 67. Registration of the Charter <b>and its implementation provisions</b></p> <p>67.1 This Fund's Charter consists of 16 Chapters, 678 Articles and 04 Appendices and was approved by the Fund's General Meeting of Investors on [●] month [●] year [●], <b>which concurrently approved the effectiveness of this Charter in its entirety</b> approves the full text of this Charter. The Fund approves and takes effect from May 10, 2024.</p> <p>67.2 Extracts or copies of this Charter issued by the Fund must be signed by the Chairman of the Fund's Representative Board or the legal representative of the Fund Management Company to be valid.</p> <p>67.3 This Regulation shall be made into seven,– three, <b>(037)</b> originals of equal validity in Vietnamese:</p> <p>(a) <b>One (01)</b> copies registered at state agencies as prescribed by law;</p> <p>(b) <b>One (01)</b> Four (04) copies kept at the office of the Fund Management Company; and</p> <p>(c) One (01) copy to be kept at the office of the Supervisory Bank.</p> <p><del>Article 68. Execution provision</del></p> <p><del>The Fund shall be officially established after the SSC issues the Fund's establishment license. The Fund management company</del></p>	<p>Adjustment in accordance with legal regulations</p>

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>The Fund shall be officially established after the SSC issues the Fund's establishment license. The Fund management company has responsibility for completing all documentation and procedures in accordance with applicable Law.</p>	<p><del>has responsibility for completing all documentation and procedures in accordance with applicable Law.</del></p>	
39.	Appendix 4-1. Asset valuation methods	<p>Non-interest money market instruments including bills, bonds, valuable papers and other interest-free instruments</p> <p>The listing prices on the trading system of the stock exchange; if market price is not available, the price shall be adopted the discounted cash flow model based on the winning bid interest rate or another interest rate approved by the Board of Representatives and the holding period of such instrument.</p>	<p>Non-interest-bearing instruments include bills, bonds, valuable papers, and other non-interest-bearing instruments</p> <p><b>The average listed price on the stock exchange; in case there is no listed price, the price shall be determined using the discounted cash flow model based on the bid-winning interest rate or another interest rate which is approved by the Board of Representatives and the holding period of such instrument.</b> <del>The listing prices on the trading system of the stock exchange; if market price is not available, the price shall be adopted the discounted cash flow model based on the winning bid interest rate or another interest rate approved by the Board of Representatives and the holding period of such instrument.</del></p>	Adjusted according to Appendix XIV of Circular 136/2025/TT-BTC effective from 12/02/2026
40.	Appendix 4-1. Asset valuation methods	<p>Listed bonds</p> <p>Determined by the average listed price of the ordinary transactions or other terminology in accordance with the regulations of the stock exchange on the latest trading day prior the valuation day plus accrued interest.</p> <p>In the following situations:</p> <ul style="list-style-type: none"> <li>• There is no transaction recorded for more than 15 days up to the valuation day; or</li> </ul>	<p>Listed bonds and <b>privately issued corporate bonds registered for trading on the Stock Exchange</b></p> <p><b>The weighted average listed price ("clean price") on the stock exchange or other terminology in accordance with the regulations of the respective stock exchange on the most recent trading day preceding the Valuation Day plus accrued interest.</b></p>	Adjusted according to Appendix XIV of Circular 136/2025/TT-BTC effective from 12/02/2026

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<ul style="list-style-type: none"> <li>The transactions on the trading system with price that fluctuate abnormally according to the details mentioned in the Valuation Handbook, the bond price shall be determined according to the details mentioned in the Valuation Handbook approved by the Board of Representatives.</li> </ul>	<p><b>In circumstance that there is no transaction recorded on the stock exchange for more than fifteen (15) days to the Valuation Day; or where the listed price fluctuates abnormally beyond plus or minus zero point three percent (+/-0.3%) compared to the weighted average purchase price of such bond, the bond price shall be determined as one of the following prices:</b></p> <ul style="list-style-type: none"> <li><b>(i) Purchase price plus accrued interest; or</b></li> <li><b>(ii) Par value plus accrued interest; or</b></li> <li><b>(iii) Price which is determined by another methodology approved by the Board of Representatives.</b></li> </ul> <p><del>The weighted average base price (clean price) on the trading system or other names, depending on the internal regulations of the Stock Exchange on the latest trading day before the Valuation Date plus accumulated interest.</del></p> <p><del>In case there is no transaction for more than fifteen (15) days as of the Valuation Date or the market price fluctuates abnormally in excess of +/- 0.3% of the weighted average purchase price of such bond, the bond price is one of the following prices:</del></p> <ul style="list-style-type: none"> <li><del>(i) Purchase price plus accumulated interest; or</del></li> <li><del>(ii) Par value plus accumulated interest; or</del></li> <li><del>(iii) The price is determined according to the method approved by the Fund's Representative Board.</del></li> </ul>	
41.	Appendix 4-1. Asset valuation methods		<b>Bonds that have been approved for listing but have not yet had their first trading day, applied to listed bonds and</b>	Supplemented according to Appendix XIV of Circular

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
			<p>privately placed corporate bonds registered for trading on the Stock Exchange</p> <p>Bond price shall be determined as below:</p> <p>(i) Purchase price plus accrued interest; or</p> <p>(ii) Par value plus accrued interest; or</p> <p>(iii) Price which is determined by another methodology approved by the Board of Representatives.</p>	136/2025/TT-BTC effective from 12/02/2026
42.	Appendix 4-1. Asset valuation methods		<p>Bonds that are delisted in accordance with the Stock Exchange's regulations as they are approaching maturity or following full early redemption by the issuers (early redemption), applied to listed bonds and privately placed corporate bonds registered for trading on the Stock Exchange</p> <p>Bond price shall be equal to the par value plus accrued interest.</p>	Supplemented according to Appendix XIV of Circular 136/2025/TT-BTC effective from 12/02/2026
43.	Appendix 4-1. Asset valuation methods		<p>Bonds that are delisted or deregistered for trading for other reasons, applied to listed bonds and privately placed corporate bonds registered for trading on the Stock Exchange</p> <p>The price shall be calculated according to the methodology approved by the Board of Representatives.</p>	Supplemented according to Appendix XIV of Circular 136/2025/TT-BTC effective from 12/02/2026
44.	Appendix 4-1. Asset valuation methods	<p>Un-listed bonds</p> <p>Bond rate is determined according to one of the following methods, with details as specified in the valuation handbook :</p>	<p>Un-listed bonds</p> <p>Bond price is the price determined by one of the following methods, details priority as specified in the Valuation Manual:</p> <p>(i) Listed price (if any) on the quotation systems plus the <del>accumulated interest rate of the coupon stubs</del> up to the day before the valuation date; or</p>	Adjusted according to Appendix XIV of Circular 136/2025/TT-BTC effective from 12/02/2026

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		(i) Listed price (if any) on the quotation systems plus coupon interest rate as of the day before the valuation date; or (ii) Purchase price (clean price) plus accrued interest; or (iii) Par value plus accrued interest; or (iv) Price which is determined using another methodology approved by the Board of Representatives.	(ii) Purchase price (clean price) plus accumulated interest; or (iii) Par value plus accrued interest; or (iv) Price which is determined using another methodology approved by the Board of Representatives.	
45.	Appendix 4-1. Asset valuation methods	<p>Shares listed, registered for trading on Stock Exchange, shares of public companies registered for trading on UpCom</p> <p>Market price, which is closing price (or other terminology) subject to the regulations of the respective stock exchange on the most recent trading day prior to the Valuation Day.</p> <p>In case no transaction recorded for more than fifteen (15) days up to the valuation day, stock price is determined by one of the following methods in order of preference are specified in detail in the valuation book:</p> <p>(i) Closing price or other terminology, in accordance with the regulations of the respective stock exchange on the latest transaction date within twelve (12) months prior to the valuation day; or</p>	<p><b>Shares listed on Stock Exchange, Privately offered shares of listed companies, Additional public offering shares of listed companies</b><del>Shares listed, registered for trading on Stock Exchange, shares of public companies registered for trading on UpCom</del></p> <p><del>Determined by the market price, the Closing price or other terminology, depending on the internal regulations of the Stock Exchange of the last trading day prior to the Valuation Date.</del></p> <p><b>In case no transaction is recorded for more than fifteen (15) days up to the Valuation Day, the share price shall be determined using one of the following methodology, details as specified in the valuation handbook:</b><del>In case no transaction recorded for more than fifteen (15) days up to the valuation day, stock price is determined by one of the following methods in order of preference are specified in detail in the valuation book:</del></p> <p>(i) The closing price or other designation subject to the internal regulations of the Stock Exchange of the date of the most recent</p>	Adjusted according to Appendix XIV of Circular 136/2025/TT-BTC effective from 12/02/2026

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		(ii) Book value; or (iii) Purchase price; or (iv) Price which is determined using another methodology approved by the Board of Representatives.	transaction within twelve (12) months preceding the Valuation Date, or (ii) Book value; or (iii) Purchase price; or (iv) The price is determined by another method approved by the Board of Representatives.	
46.	Appendix 4-1. Asset valuation methods		<p><b>Shares of public companies registered for trading on UpCom, Privately offered shares of registered companies, Additional public offering shares of registered companies Closing price or other terminology, subject to the regulations of the respective stock exchange on the most recent trading day prior to the Valuation Day.</b></p> <p><b>In case no transaction is recorded for more than fifteen (15) days up to the Valuation Day, the share price shall be determined using one of the following methodology, details as specified in the valuation handbook:</b></p> <p><b>(i) Closing price or other terminology, subject to the regulations of the respective stock exchange on the latest trading day within twelve (12) months prior to the Valuation Day; or</b></p> <p><b>(ii) Purchase price; or</b></p> <p><b>(iii) Book value; or</b></p> <p><b>(iv) Price which is determined using another methodology approved by the Board of Representatives.</b></p>	Supplemented according to Appendix XIV of Circular 136/2025/TT-BTC effective from 12/02/2026
47.	Appendix 4-1. Asset valuation methods		<b>Other shares or capital contribution</b>	Supplemented according to Appendix

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
			<p>The market price is the average price based on the quotations (average price of all completed transactions) provided by at least three (03) quotation organizations, that are independent of the Fund Management Company and approved by the Board of Representatives, on the most recent trading day prior to the Valuation Day. In case, there is not sufficient quotations from at least three (03) quotation organizations, the price shall be determined using one of the following prices, with details as specified in the valuation handbook:</p> <p>(i) The price of the most recent Valuation Day with details as specified in the valuation handbook; or</p> <p>(ii) Purchase price/ value of capital contributed; or</p> <p>(iii) Book value; or</p> <p>(iv) The price determined using other methodology approved by the Board of Representatives.</p>	<p>XIV of Circular 136/2025/TT-BTC effective from 12/02/2026</p>
48.	Appendix 4-1. Asset valuation methods		<p>Shares which have been approved for listing but have not yet had their first trading day</p> <p>The price is determined using the same valuation methodology of Other shares or capital contribution.</p>	<p>Supplemented according to Appendix XIV of Circular 136/2025/TT-BTC effective from 12/02/2026</p>
49.	Appendix 4-1. Asset valuation methods	<p>Shares of public companies in the process of changing stock exchanges</p> <p>During the time that the public company is undergoing procedures changing Stock Exchange, its stock price shall be equal to its closing price (or other</p>	<p><del>Shares of public companies in the process of changing stock exchanges</del></p> <p><del>During the time that the public company is undergoing procedures changing Stock Exchange, its stock price shall be equal to its closing price (or other terminology, subject to the</del></p>	<p>Adjusted according to Appendix XIV of Circular 136/2025/TT-BTC effective from 12/02/2026</p>

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		terminology, subject to the regulations of the respective Stock Exchange) on the latest trading day prior to the Valuation Day.	<del>regulations of the respective Stock Exchange) on the latest trading day prior to the Valuation Day.</del>	
50.	Appendix 4-1. Asset valuation methods		<p><b>Shares which are delisted or deregistered for trading due to a change of Stock Exchange</b></p> <p><b>Closing price or other terminology, subject to the regulations of the respective stock exchange on the most recent trading day prior to the Valuation Day.</b></p> <p><b>In case no transaction is recorded for more than fifteen (15) days up to the Valuation Day, the share price shall be determined using one of the following methodology, details as specified in the valuation handbook:</b></p> <p><b>(i) Closing price or other terminology, subject to the regulations of the respective stock exchange on the latest trading day within twelve (12) months prior to the Valuation Day; or</b></p> <p><b>(ii) Book value; or</b></p> <p><b>(iii) Purchase price; or</b></p> <p><b>(iv) Price which is determined using another methodology approved by the Board of Representatives</b></p>	Adjusted according to Appendix XIV of Circular 136/2025/TT-BTC effective from 12/02/2026
51.	Appendix 4-1. Asset valuation methods	<p>Shares which are suspended from trading, delisted or deregistered for trading</p> <p>One of the following methodologies, with details as specified in the valuation handbook:</p> <p>(i) Book value; or</p> <p>(ii) Purchase value; or</p>	<p>Shares which are suspended from trading, delisted or deregistered for trading <b>for reasons other than a change of Stock Exchange</b></p> <p>One of the following methodologies:</p> <p>(i) Book value; or</p> <p>(ii) Purchase value; or</p>	Supplemented according to Appendix XIV of Circular 136/2025/TT-BTC effective from 12/02/2026

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		(iii) The price determined using other methodology approved by the Board of Representatives.	(iii) The price determined using other methodology approved by the Board of Representatives.	
52.	Appendix 4-1. Asset valuation methods		<p><b>Fund certificates</b></p> <p><b>Listed public fund certificates</b></p> <p><b>Closing price or other terminology, subject to the regulations of the respective stock exchange on the most recent trading day prior to the Valuation Day.</b></p> <p><b>In case no transaction is recorded for more than fifteen (15) days up to the Valuation Day, the share price shall be determined using one of the following methodology, details as specified in the valuation handbook:</b></p> <p><b>(i) NAV per fund unit which is published on websites of the State Securities Commission or the Stock Exchange or the fund management company on the most recent day prior to the Valuation Day; or</b></p> <p><b>(ii) Purchase price; or</b></p> <p><b>(iii) Price which is determined using another methodology approved by the Board of Representatives.</b></p>	Supplemented according to Appendix XIV of Circular 136/2025/TT-BTC effective from 12/02/2026
53.	Appendix 4-1. Asset valuation methods		<p><b>Unlisted public fund certificates</b></p> <p><b>NAV per fund unit which is published on the most recent day prior to the Valuation Day.</b></p>	Supplemented according to Appendix XIV of Circular 136/2025/TT-BTC effective from 12/02/2026
54.	Appendix 4-1. Asset valuation methods		<p><b>Public fund certificates which are delisted due to a change of Stock Exchange</b></p> <p><b>One of the following prices:</b></p>	Supplemented according to Appendix XIV of Circular

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
			<p>(i) NAV per fund unit which is published on the most recent day prior to the Valuation Day; or</p> <p>(ii) Purchase value; or</p> <p>(iii) The price determined using other methodology approved by the Board of Representatives.</p>	<p>136/2025/TT-BTC effective from 12/02/2026</p>
55.	Appendix 4-1. Asset valuation methods	<p>Listed derivatives</p> <p>Closing price or other definition according to the relevant regulations of the stock exchange on the latest trading day prior to the Valuation Day.</p>	<p>Listed derivatives</p> <p>Closing price or other terminology in accordance with the relevant regulations of the respective stock exchange on the most recent trading day prior to the Valuation Day.</p> <p><b>In case there is no closing price from the stock exchange as prescribed above, the price shall be determined based on the settlement price at the end of the day or the final settlement price (in case of maturity) provided by the Vietnam Securities Depository and Clearing Corporation (“VSDC”) to derivatives clearing members, and published on VSDC’s website on the most recent trading day preceding the Valuation Day.</b></p>	<p>Supplemented according to Appendix XIV of Circular 136/2025/TT-BTC effective from 12/02/2026</p>
56.	Appendix 4-1. Asset valuation methods	<p>Listed derivatives which are not traded in more than 15 days prior to the valuation day</p> <p>The price shall be determined using a methodology approved by the Board of Representatives.</p>	<p>Listed derivatives which are not traded in more than <b>fifteen (15)</b> days prior to the valuation day</p> <p>The price shall be determined using a methodology approved by the Board of Representatives.</p>	<p>Supplement to clarify</p>
57.	Appendix 4-1. Asset valuation methods		<p><b>Right issue for equities</b></p> <p><b>Right issue for equities shall be determined by using the positive difference between the market price of such equities on the most recent trading day preceding the</b></p>	<p>Supplemented according to Appendix XIV of Circular 136/2025/TT-BTC</p>

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
			<b>Valuation Day and the subscription price, multiplied by the exercise ratio.</b>	effective from 12/02/2026
58.	Appendix 4-1. Asset valuation methods	Other types of permitted investment assets The market price is the average price of successfully traded on the most recent trade date prior to the valuation date provided by quotation organisations. In case where there is no quotation, the price shall be determined using a methodology approved by the Board of Representatives.	Other assets permitted for investment The market price is the average price based on the quotations (average price of all completed transactions) provided <b>by at least three (03) quotation organizations, that are independent of the Fund Management Company and approved by the Board of Representatives</b> , on the most recent trading day prior to the Valuation Day. In case, there is not sufficient quotations from at least three (03) quotation organizations, the price shall be determined using a methodology approved by the Board of Representatives.	Adjusted according to Appendix XIV of Circular 136/2025/TT-BTC effective from 12/02/2026
59.	Appendix 4-1. Asset valuation methods	Notes:  (i) The valuation methods for each asset class will be applied in order of priority from top to bottom.  (ii) Accrued interest is calculated from the latest interest payment to the time preceding the Valuation Day.  (iii) The book value of a share is determined based on the most recent audited or reviewed financial statements.	Notes:  <del>(i) The valuation methods for each asset class will be applied in order of priority from top to bottom.</del> <del>(ii)(i)</del> Accrued interest is the interest calculated from the time of the last interest payment to the time before the Valuation Date. <del>(iii)(ii)</del> The book value of a stock is determined on the basis of the most recent audited or reviewed financial statements. <b>(iii) The liquidation value of one (01) share is determined by the value of the issuer's equity divided by the total number of outstanding shares</b>  (iv) Valuation organizations are selected for the bond quotation system (Reuters/Bloomberg/ <b>Vietnam Bond Market Association VBMA...</b> ) for reference.  ...	Adjusted according to Appendix XIV of Circular 136/2025/TT-BTC effective from 12/02/2026

STT	Terms	Articles and articles of the old Charter	Articles and articles of the new Charter	Reasons for amendment and supplementation
		<p>(iv) Valuation companies are allowed to use bond quote pricing systems (Bloomberg/Reuters/ VBMA) for reference.</p> <p>(v) The quotation service providers for stocks that are independent securities companies with the Fund Management Company and the Custodian Bank.</p> <p>(vi) Day means a calendar day.</p> <p>...</p>		

- Effective Start Date: 06/05/2026
- The company commits to complete the relevant procedures and take responsibility before the law for the accuracy and truthfulness of this official letter and the attached documents.

**Enclosed documents:**

*(Full List)*

- The amended charter.

**VinaCapital Fund Management Joint Stock Company**

**General Director**

*(signed and sealed)*

**Brook Colin Taylor**

